

SOME SOLUTIONS–TOPOLOGY REVIEW, SUMMER 2023.

**4 (Problem set 5).** The equivalence relation defining  $X/A$  is  $x \sim x'$  if  $x = x'$  or  $x, x' \in A$ . Let  $\bar{x} = p(x) \in X/A$  denote the equivalence class of  $x \in X$ , where  $p : X \rightarrow X/A$  is the quotient map.  $X/A$  is given the quotient topology:  $U \subset X/A$  is open iff  $V = p^{-1}(U)$  is open in  $X$ . Since  $p^{-1}(U)$  is invariant under  $\sim$ , we see that  $V$  is either disjoint from the closed set  $A$ , or an open neighborhood of  $A$ . Thus the topology in  $X/A$  is generated by the images under  $p$  of these two types of open sets in  $X$ .

(i) If  $A$  is contractible, there exists  $a_0 \in A$  and a homotopy in  $A$  from  $id_A$  to the constant map from  $A$  to  $a_0$ . By Borsuk's homotopy extension theorem (which applies since  $X \subset R^n$  is a closed ENR), we find  $f_t : X \rightarrow X$ ,  $t \in I$ , extending that homotopy, with  $f_0 = id_X$ . Thus  $f_t(A) \subset A$  for all  $t \in I$  and  $f_1$  maps  $A$  to  $a_0$ .

(ii) The homotopy  $(f_t)$  in (i) induces  $h_t : X/A \rightarrow X/A$  via  $h_t(\bar{x}) = p(f_t(x))$ . To see this is well-defined, it suffices to note that:

$$x \sim x', x \neq x' \Rightarrow x, x' \in A \Rightarrow f_t(x), f_t(x') \in A \Rightarrow f_t(x) \sim f_t(x'), \forall t \in I.$$

Since  $h_t \circ p = p \circ f_t$  (continuous),  $h_t$  is continuous as well. For  $t = 1$  we have more :

$$x \sim x', x \neq x' \Rightarrow x, x' \in A \Rightarrow f_1(x) = f_1(x') = a_0.$$

Thus the map  $q : X/A \rightarrow X$ ,  $q(\bar{x}) = f_1(x)$ , is well-defined and continuous.

By definition,  $q \circ p = f_1$ , which via  $f_t$  is homotopic in  $X$  to  $id_X$ .

We claim that also  $p \circ q \simeq id_{X/A}$ . Letting  $k = p \circ q : X/A \rightarrow X/A$ , note:

$$k(\bar{x}) = (p \circ q)(\bar{x}) = (p \circ f_1)(x),$$

while  $(p \circ f_0)(x) = p(x) = \bar{x}$ . So letting  $h_t(\bar{x}) = (p \circ f_t)(x)$  we have (as noted above) a well-defined homotopy  $h_t : X/A \rightarrow X/A$ ,  $t \in I$ , from  $h_0 = id_{X/A}$  to  $k = h_1 = p \circ q$ .

Thus  $X$  and  $X/A$  are homotopy equivalent spaces.

**9. (Problem set 5).** (i) Define a family  $(h_t)_{t \in I}$  of homeomorphisms of  $h$  equal to the identity on  $\partial B$ , setting:

$$\text{for } 0 \leq t < 1 : h_t(x) = x, 1-t \leq \|x\| \leq 1; \quad h_t(x) = (1-t)h\left(\frac{x}{1-t}\right), 0 \leq \|x\| \leq 1-t.$$

Since  $h$  is the identity on  $\partial B$ , it follows the two expressions coincide when  $\|x\| = 1-t$ :

$$(1-t)h\left(\frac{x}{1-t}\right) = \|x\|h\left(\frac{x}{\|x\|}\right) = \|x\|\frac{x}{\|x\|} = x.$$

For  $t = 1$ , we set  $h_1(x) = x, x \in B$ . Clearly all the  $h_t$  are homeomorphisms of the closed ball.

We have to check continuity at  $t = 1$ , but since a possible issue only occurs when  $\|x\| < 1 - t$ , it is enough to check continuity at  $x = 0, t = 1$ , where  $h_1(0) = 0$ . But if  $\|x\| < 1 - t$ , we have:

$$\|h_t(x)\| = (1 - t)\|h(\frac{x}{1 - t})\| \leq (1 - t)M,$$

where  $M = \max\{\|h(x)\|; x \in B\} \leq 1$ , so  $\|h_t(x)\|$  is small when  $t$  is near 1. (If  $\|x\| \geq 1 - t$  with  $\|x\|$  small, recall  $h_t(x) = x$ .)

Part (ii) of the problem follows easily from part (i), by composition. (Check this explicitly.)

**1(iii) (Problem set 6).** Let  $p : \mathbb{C} \rightarrow \mathbb{C}^*$  be the exponential cover,  $p(z) = e^z$  (with deckgroup  $\mathbb{Z}$ , generated by  $z \mapsto z + 2\pi i$ .) Note  $p$  maps the open left halfplane  $H = \{z; \operatorname{Re}(z) < 0\}$  onto the punctured open unit disk  $D^* \subset \mathbb{C}^*$ .

To see this covering map  $p$  is not a closed map, consider the curve  $\Gamma \subset H$  parametrized over  $\mathbb{R}$  by:

$$t \mapsto -e^t + it \in \mathbb{C}, t \in \mathbb{R}.$$

Note  $\Gamma$  is contained in  $H$  and properly embedded in  $\mathbb{C}$ , and hence is a closed subset of  $\mathbb{C}$ . The image of  $\Gamma$  under  $p$  is the curve in  $D^*$  parametrized by:

$$t \mapsto e^{-e^t} \cdot e^{it}, t \in \mathbb{R}.$$

This (injectively immersed) curve  $p(\Gamma)$  spirals towards  $0 \in \mathbb{C}$  as  $t \rightarrow +\infty$ , and towards  $S^1 = \partial D^* \setminus \{0\}$  as  $t \rightarrow -\infty$ , and hence is not a closed subset of  $\mathbb{C}^*$ . Thus  $p$  is not a closed map.

**6 (problem set 6).** We claim that  $X$  is homotopy equivalent to  $S^2 \vee S^1$ , hence by S-vK  $\pi_1(X)$  is isomorphic to  $\mathbb{Z}$ .

Consider a closed square region  $Q$ , with boundary defined by the vertices  $a, b, c, d$  (counterclockwise). Let  $e$  be the midpoint of the edge  $ad$ , and  $T \subset Q$  the closed triangular region with vertices  $b, c, e$ . Attach  $Q$  to  $S^2$  by mapping the edge  $ad$  homeomorphically onto an arc of meridian on  $S^2$  (so this arc equals the intersection of  $Q$  and  $S^2$ , in the attachment space  $Y$ ). Note the following:

(i)  $X$  is homeomorphic to the subspace  $X_1$  of  $Y$  consisting of  $S^2$  and the edges  $[ab], [bc], [cd]$  of  $Q$ , attached to  $S^2$  at  $a$  and  $d$ ;

(ii)  $S^2 \vee S^1$  is homeomorphic to the subspace  $X_2$  of  $Y$  consisting of  $S^2$  and the edges  $[eb], [bc], [ce]$  of  $T$ , attached to  $S^2$  at  $e$ ;

(iii) Fix a point  $q_0$  in the interior of  $T$ . Then  $X_1$  is a deformation retract of  $Y \setminus \{q_0\}$  (via ‘radial map from  $q_0$ ’). Likewise,  $X_2$  is a deformation retract of  $Y \setminus \{q_0\}$ . Thus  $X_1$  and  $X_2$  are homotopy equivalent, and  $X$  and  $S^2 \vee S^1$  are also homotopy equivalent.

**6(iii), Problem set 7.** Let  $f : B \rightarrow B$  be continuous. Proceeding by contradiction, suppose  $f$  has no fixed points in  $B$ . Then  $\|f(x) - x\| \geq c > 0$  for some  $c > 0$  and all  $x \in B$ . Let  $\epsilon > 0$  be arbitrary. By Stone-Weierstrass, we may find  $g : B \rightarrow R^n$  smooth, so that  $\|g(x) - f(x)\| < \epsilon$  for all  $x \in B$ . In particular,  $\|g(x)\| < 1 + \epsilon$  in  $B$ , so defining  $\hat{g}(x) = \frac{g(x)}{1+\epsilon}$ , we have  $\hat{g} : B \rightarrow B$  smooth, as well as:

$$\|f(x) - \hat{g}(x)\| < \epsilon + \|g(x)\|(1 - \frac{1}{1+\epsilon}) \leq 2\epsilon.$$

So  $\|\hat{g}(x) - x\| \geq \|f(x) - x\| - 2\epsilon \geq c - 2\epsilon \quad \forall x \in B$ , contradicting the existence of fixed points in the smooth case, if  $\epsilon < c/2$ .

**6(iv)** Note  $\Sigma$  is homeomorphic to the  $(n - 1)$ -closed ball, and that  $A$  preserves the ‘closed positive cone’  $K$  of  $R^n$ ,  $K = \{v \in R^n; v_i \geq 0 \forall i\}$ . For  $v \in K$ , let  $\sigma(v) \geq 0$  be the sum of the coordinates. Then:

$$f(x) = \frac{Ax}{\sigma(Ax)}$$

defines a continuous map from  $\Sigma$  to itself (note  $\sigma(Ax) \neq 0$  for  $x \in \Sigma$ , since  $A$  has positive entries). Letting  $x_0 \in \Sigma$  be a fixed point of  $f$ , we have:

$$Ax_0 = \sigma(Ax_0)x_0, \quad x_0 \neq 0,$$

so  $x_0$  is an eigenvector of  $A$ , with positive eigenvalue  $\sigma(Ax_0)$ .

**12, Problem set 7.** (i) Let  $p : \mathbb{R} \rightarrow S^1$ ,  $p(t) = e^{it}$ , be the standard exponential covering. Since  $\mathbb{R}$  is contractible, by the fundamental lifting criterion the map  $f \circ p : \mathbb{R} \rightarrow S^1$  lifts over  $p$ , so there exists  $g : \mathbb{R} \rightarrow \mathbb{R}$  continuous, satisfying  $p \circ g = f \circ p$ . We have:

$$e^{ig(t+2\pi)} = f(e^{i(t+2\pi)}) = f(e^{it}) = e^{ig(t)} \quad \forall t,$$

so there exists  $q \in \mathbb{Z}$  so that  $g(t + 2\pi) = g(t) + 2\pi q$ , for all  $t \in \mathbb{R}$ .

(ii) Fix the  $q \in \mathbb{Z}$  obtained for  $f$  in part (i) and consider the basic example, the map of  $S^1$  given by  $f_0(z) = z^q$ , or  $f_0(e^{it}) = e^{iqt}$ . Clearly

$g_0(t) = qt$  in this case. Composing  $f$  with a rotation (which doesn't change its mod 2 degree) we may assume  $f(1) = 1$  and  $g(0) = 0, g(2\pi) = 2\pi q = g_0(2\pi)$ .

We see that  $g$  is homotopic to  $g_0$  on  $[0, 2\pi]$  with fixed endpoints, via  $g_s(t) = sg(t) + (1-s)g_0(t), s \in [0, 1]$ , and also on  $\mathbb{R}$ , extending  $g_s$  via  $g_s(t + 2\pi) = g_s(t) + 2\pi q$ . This periodicity shows  $g_s : \mathbb{R} \rightarrow \mathbb{R}$  induces a homotopy  $f_s : S^1 \rightarrow S^1$  from  $f_0$  to  $f$  (via  $f_s(e^{it}) = e^{ig_s(t)}$ ). By homotopy invariance of the degree,  $\deg_2(f) = \deg_2(f_0)$ , and the latter is seen to equal  $q \bmod 2$ , by counting preimages of an arbitrary point on  $S^1$ .

**17, Problem set 7.** In general, let  $X$  be any smooth manifold,  $f : X \rightarrow R^{k+1} \setminus \{0\}$  a smooth map,  $\hat{f} : X \rightarrow S^k$  the normalization of  $f$ . For  $a \in S^k$ , denote by  $r_a \subset R^{k+1} \setminus \{0\}$  and  $l_a$  the open ray and the one-dimensional subspace of  $R^{k+1}$  defined by  $a$ . By definition,  $a \in S^k$  is a regular value for  $\hat{f}$  iff:

$$df_x : T_x X \rightarrow T_a S^k = l_a^\perp \text{ is onto, } \forall x \in \hat{f}^{-1}(a); \quad (1)$$

while  $f \pitchfork r_a$  iff:

$$df_x : T_x X \rightarrow R^{k+1} \text{ satisfies } df_x[T_x X] + l_a = R^{k+1}, \text{ if } f(x) \in r_a. \quad (2)$$

It is clear that  $f(x) \in r_a$  iff  $\hat{f}(x) = a$ . To show equivalence of the two statements, recall the 'Calculus fact': for  $x \in X, v \in T_x X$  and  $a = \hat{f}(x)$ :

$$df_x[v] = \frac{1}{\|f(x)\|} (df_x[v] - \langle df_x[v], a \rangle a) = \frac{1}{\|f(x)\|} \text{proj}_{l_a^\perp}(df_x[v]) \in T_a S^k, \quad (3)$$

where  $\text{proj}_{l_a^\perp}$  denotes the orthogonal projection from  $R^{k+1}$  onto the orthogonal complement of  $l_a$  (with respect to the standard inner product in  $R^{k+1}$ ).

Assuming (1), we prove (2). Let  $w \in R^{k+1}$ , write  $w = w_1 + w_2$ , with  $w_1 \in l_a, w_2 \in l_a^\perp$ . From (1),  $w_2 = df_x[v]$ , for some  $v \in T_x X$ . And then (3) shows  $df_x[v_1] = df_x[v] + w_3$ , with  $w_3 \in l_a$  and  $v_1 = \|f(x)\|^{-1}v \in T_x X$ . Thus  $w = df_x[v_1] + (w_1 - w_3)$ , proving (2).

Conversely, assuming (2), we prove (1). Let  $w \in l_a^\perp = T_a S^k$ . From (2),  $w = df_x[v]$  for some  $v \in T_x S^k$  (where  $\hat{f}(x) = a$ ; clearly  $w$  has no component in  $l_a$ .) But then (3), combined with the fact  $df_x[v] \in l_a^\perp$ , imply  $df_x[\|f(x)\|v] = df_x[v] = w$ , proving (1).

**21, problem set 8.** This is a direct consequence of the fact that any manifold  $X$  admits an oriented double cover  $p : \tilde{X} \rightarrow X$ , unique up to automorphisms (Proposition 8.7 in [Lima, p. 196]); and that this cover  $\tilde{X}$

is disconnected if and only if  $X$  is orientable (Proposition 8.5). (You should review those results, Ch. 8 of [Lima].)

When  $X$  is simply connected, any covering space of  $X$  (with more than one sheet) is disconnected, and the covering map is trivial; thus in this case  $X$  is orientable.

**22, problem set 8.** If  $\varphi : U \rightarrow U_0 \subset \mathbb{R}^n$  is a chart for  $M$ , the corresponding chart for  $TM|_U$  is:

$$\tilde{\varphi} : TM|_U \rightarrow U_0 \times \mathbb{R}^n, \quad \tilde{\varphi}(x, v) = (\varphi(x), d\varphi(x)[v]).$$

Let  $\psi : V \rightarrow V_0 \subset \mathbb{R}^n$  be another chart, with overlapping domain ( $U \cap V \neq \emptyset$ .) The change of coordinates map is the diffeomorphism:

$$F = \psi \circ \varphi^{-1} : U_1 \rightarrow V_1, \quad U_1 \subset U_0, V_1 \subset V_0,$$

and the associated change of coordinates for  $TM$  is:

$$\tilde{F} : U_1 \times \mathbb{R}^n \rightarrow V_1 \times \mathbb{R}^n, \quad \tilde{F}(x, v) = (F(x), dF_x[v]).$$

Its differential at a point  $(x, v)$  is given by:

$$d\tilde{F}_{(x,v)} : \mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}^n \times \mathbb{R}^n,$$

$$d\tilde{F}_{(x,v)}[(w_1, w_2)] = (dF_x[w_1], d^2F_x[w_1, v] + dF_x[w_2]),$$

where  $d^2F_x$  denotes the second differential of  $F$  at  $x$ . (Note that for fixed  $x$ ,  $\tilde{F}(x, \cdot) = (F(x), dF_x[\cdot])$ , where  $dF_x \in \mathcal{L}(\mathbb{R}^n)$ , so the partial differential of  $\tilde{F}$  with respect to its second argument at  $(x, v)$  is simply  $d_2\tilde{F}_{(x,v)} = (0, dF_x)$ , independent of  $v$ .)

This implies (for example, via matrix expressions for  $d\tilde{F}_{(x,v)} \in \mathcal{L}(\mathbb{R}^n \times \mathbb{R}^n)$  in ‘block form’):

$$\det d\tilde{F}_{(x,v)} = \det(dF_x)^2 = (\det dF_x)^2 > 0,$$

so the atlas for  $TM$  associated to any atlas of  $M$  is automatically orientation-preserving.

**24, problem set 8.** It is enough to show that, given any  $p \in X$ , we may find in some neighborhood  $U$  of  $p$  a set of  $n = \dim(X) = \dim(Y)$  linearly independent vector fields  $v_1, \dots, v_n$  (in this order), so that if two of these neighborhoods  $U_1, U_2$  (of  $p_1, p_2 \in M$ ) intersect, at any point  $p \in U_1 \cap U_2$  the transition map from the basis  $\{v_1(p), \dots, v_n(p)\}$  to the basis

$\{w_1(p), \dots, w_n(p)\}$  of  $T_p M$  (where the  $w_i$  are defined in  $U_2$ ) has positive determinant over  $U_1 \cap U_2$ .

Given  $p \in M$ , let  $\{e_1, \dots, e_n\}$  be a *positive* set of lin. indep smooth vector fields (with respect to the orientation of  $Y$ ), defined in some neighborhood  $W \subset Y$  of  $f(p)$ ; let  $U \subset X$  be a neighborhood of  $p$  so that  $f(U) \subset W$  and set  $v_i(p) = df_p^{-1}[e_i(f(p))]$ . Clearly this defines a family of smooth lin.indep. vector fields on  $U$ .

To verify the consistency condition, if  $p \in U_1 \cap U_2$ , let  $q = f(p) \in Y$ . We have *positive* frames  $\{e_1, \dots, e_n\}$  and  $\{f_1, \dots, f_n\}$  in  $W_1 \supset f(U_1)$ ,  $W_2 \supset f(U_2)$  (resp.),  $q \in W_1 \cap W_2$ , and the transition map  $A(q)$  from the  $e_i(q)$  to the  $f_i(q)$  has positive determinant:

$$f_i(q) = \sum_j a_{ij}(q)e_j(q), \quad \det(a_{ij})(q) > 0.$$

Since the transition map from the  $v_i(p) = (df_p)^{-1}[e_i(q)]$  (in  $U_1$ ) to the  $w_i(p) = (df_p)^{-1}[f_i(q)]$  (in  $U_2$ ) is also given (at  $p \in U_1 \cap U_2$ ) by  $A(q)$ ,  $q = f(p)$ , it has positive determinant as well:

$$w_i(p) = (df_p)^{-1}[f_i(q)] = \sum_j a_{ij}(q)(df_p)^{-1}[e_j(q)] = \sum_j a_{ij}(q)v_j(p).$$

*Remark:* The orientation induced on  $X$  by the local diffeomorphism  $f$  and an orientation of  $Y$  is known as ‘pullback orientation’. Note this does not work in the other direction, that is, an orientation on  $X$  does not induce one on  $Y$ . A simple example is the standard covering map from  $S^2$  to  $\mathbb{R}P^2$ , a non-orientable manifold (it contains a Möbius strip as an open set.). ‘Orientations pull back, but do not push forward’.