

## PROPER (or HEINE-BOREL) METRIC SPACES

*Def.*  $(X, d)$  is *proper* (or HB) if closed bounded sets are compact.

Ex.1  $\mathbb{R}^n$  is HB. (Since bounded sets are totally bounded.)

Ex.2 For  $X$  compact metric,  $C(X)$  (with the sup norm) is complete separable metric, but not HB.

**Exercise 1.** Let  $(X, d)$  be a proper metric space. Then  $X$  is complete, locally compact and  $\sigma$ -compact.

The converse is not true: it is easy to destroy the HB property.

Ex. 3. Let  $(X, d)$  be a non-compact metric space. Then the metric  $d_{min} = \min\{d, 1\}$  is equivalent to  $d$  (same topology), locally coincides with  $d$  (in a neighborhood of the diagonal in  $X \times X$ ), but is not HB. In particular,  $(X, d_{min})$  is locally compact,  $\sigma$ -compact and complete, if  $d$  is.

**Exercise 2.** A metric space  $(X, d)$  is proper if and only if the distance function to a point  $x \mapsto d(x, x_0)$  is a proper function on  $X$  (preimage of compact is compact.) Hence the name.

In the paper [Williamson-Janos], the following theorems are proved:

*Theorem 1.* If  $(X, d)$  is locally compact,  $\sigma$ -compact, there exists an equivalent metric (same topology) which is HB.

*Idea of proof.* Let  $(K_n)$  be a compact exhaustion of  $X$ ,  $K_n \subset \text{int}(K_{n+1})$ . Let  $f_n : X \rightarrow [0, 1]$  continuous satisfy:  $f_n \equiv 0$  on  $K_n$ ,  $f_n \equiv 1$  on  $K_{n+1}^c$ . Consider the metric on  $X$ :

$$d'(x, y) = d(x, y) + \sum_{n \geq 1} |f_n(x) - f_n(y)|.$$

**Exercise 3.** Show that  $d$  and  $d'$  are equivalent on  $X$ . (It suffices to show that  $\lim x_n = x$  with respect to  $d$  iff this holds with respect to  $d'$ .)

Note that any bounded set must be contained in some  $K_n$ , so  $(X, d')$  is HB. In particular complete, even if  $(X, d)$  isn't. This gives a proof that locally compact,  $\sigma$ -compact metric spaces are completely metrizable.

*Theorem 2.* Let  $(X, d)$  be locally compact,  $\sigma$ -compact and complete. Then  $X$  admits a HB metric that locally coincides with  $d$ .

*Idea of proof.* Let  $\mathcal{W}$  be an open cover of  $X$ . Define a new metric on  $X$  as follows. Given  $x, y \in X$ , find a chain of points from  $x$  to  $y$ :

$$x_0 = x, x_1, \dots, x_N = y, \quad \text{and } \forall i = 0, \dots, N-1 : x_i, x_{i+1} \in A, \text{ for some } A \in \mathcal{W}.$$

Then let  $d_{\mathcal{W}}(x, y)$  be the infimum of  $\sum_{i=0}^{N-1} d(x_i, x_{i+1})$  over all such chains from  $x$  to  $y$ . This ‘ $\mathcal{W}$  distance’ is a metric locally equivalent to  $d$ .

To prove the theorem, the authors consider a compact exhaustion  $(K_n)$  of  $X$ , and the associated open cover by the sets  $\text{int}(K_{n+1}) \setminus K_n$  and show (using completeness) that the metric associated with this cover is HB.

*Gromov’s Hopf-Rinow Theorem.*

*Def.* Let  $\gamma : [0, 1] \rightarrow X$  be a continuous curve, where  $(X, d)$  is metric. The length of  $\gamma$  is defined as:

$$L[\gamma] = \sup \left\{ \sum_i d(\gamma(t_i), \gamma(t_{i+1})) \right\},$$

where the supremum is taken over all partitions of  $[0, 1]$ . (In general, this will be infinite—for example, for a non-rectifiable curve in  $R^n$ .)

*Def.*  $(X, d)$  (path connected) is a *length space* if  $d(x, y)$  is the inf of the lengths of curves joining  $x$  to  $y$ .

*Def.* A path  $\gamma : [0, L] \rightarrow X$  is a *geodesic segment* if it is an isometry from  $[0, L]$  (with its usual metric) to  $X$ .  $X$  is a *geodesic length space* if any two points can be joined by a geodesic segment. (Example:  $R^2 \setminus \{0\}$  with the metric induced from  $R^2$  is a length space, but not a geodesic one.)

On a Riemannian manifold  $M$ , lengths of  $C^1$  curves are defined by integrating the lengths of their tangent vectors, and Riemannian distance  $d$  is defined by taking the inf of the lengths of  $C^1$  curves joining two points. The *Hopf-Rinow theorem* says the following conditions are equivalent: (i)  $(M, d)$  is a complete metric space; (ii)  $(M, d)$  is HB. And if either condition holds,  $(M, d)$  is a geodesic length space.

Gromov’s version of this for general metric spaces is:

*Theorem.* Any complete, locally compact length space is HB (and geodesic.)

*Idea of proof.* Fix  $x_0 \in X$  and let  $J \subset \mathbb{R}_+$  be the set of  $r > 0$  such that:

$$K(x_0, r) := \{x \in X; d(x_0, x) \leq r\}$$

is compact. From local compactness,  $J$  is non-empty and open; either  $J = \mathbb{R}_+$  (in which case  $X$  is proper) or  $J = (0, a)$  for some  $a > 0$ .

Observe the following property of length spaces: if  $d(x, z) < a + b$ , we may find  $y \in X$  so that  $d(x, y) < a, d(y, z) < b$ . Indeed just find a path from  $x$  to  $z$  with length less than  $a + b$ , and pick  $y$  suitably along that path.

So by contradiction assume  $J = (0, a)$  and let  $(x_n)$  be a sequence in  $K(x_0, a)$ . Using this observation, for each  $n \geq 1, k \geq 1$  find  $y_{n,k}$  so that:

$$y_{n,k} \in K(x_0, a - \frac{1}{k}), \quad d(y_{n,k}, x_n) < \frac{2}{k}.$$

For each  $k$  fixed,  $(y_{n,k})_n$  has a convergent subsequence. Then use a diagonal argument to find  $n_j$  so that  $(y_{n_j,k})_j$  is convergent for each  $k$ . Considering:

$$d(x_{n_j}, x_{n_l}) \leq d(x_{n_j}, y_{n_j,k}) + d(y_{n_j,k}, y_{n_l,k}) + d(y_{n_l,k}, x_{n_l}),$$

where the middle term is small for  $j$  and  $l$  large and the sum of the other two is less than  $\frac{4}{k}$ , taking  $k$  large enough we see that  $(x_{n_j})$  is a Cauchy sequence, hence convergent since  $X$  is complete. Thus any sequence in  $K(x_0, a)$  has a convergent subsequence, so this set is compact. Contradiction.

(Reference: John Roe, *Lectures on Coarse Geometry*.)

We conclude with a proposition involving this circle of ideas:

*Proposition.* Let  $M$  be a locally compact metric space. The following conditions are equivalent:

- 1-  $M$  has a countable basis;
- 2-  $M$  is  $\sigma$ -compact;
- 3-  $M$  admits an equivalent Heine-Borel metric;
- 4- The Alexandroff compactification  $M^* = M \sqcup \{\omega\}$  is metrizable.

*Proof.* (1) $\Rightarrow$ (2): Each  $x \in M$  is in an open, precompact set  $U_x$ . Since  $M$  is Lindelöf, the open cover  $\{U_x\}_{x \in M}$  admits a countable subcover  $\{U_n\}_{n \geq 1}$ . Thus  $M$  is contained in the countable union of compact sets  $\{\bar{U}_n\}_{n \geq 1}$ .

(2) $\Rightarrow$ (3): this is Theorem 1 above.

(3) $\Rightarrow$ (2): Exercise 1 above.

(2) $\Rightarrow$   $M^*$  has a countable basis: It is clear that  $M$  does (that is, (2) $\Rightarrow$ (1)), and also that  $\omega$  has a countable local basis. The union of these two bases is a countable basis for the topology of  $M^*$  (informally speaking; fill in the details as an exercise.) Since  $M^*$  is normal (being compact), metrizability (4) follows from Urysohn metrization.

Thus (1),(2),(3) are equivalent, and each implies (4). But (4) $\Rightarrow$ (1) is clear, since compact metrizable spaces are second-countable, and this is inherited by subspaces.