

REMARKS ON THE MOD 2 DEGREE.

Let  $f : X \rightarrow Y$  be a smooth map, where  $\dim X = \dim Y$ ;  $X$  is compact (with *empty* boundary) and  $Y$  is connected, without boundary. If  $y \in Y$  is a regular value for  $f$  (equivalently,  $f$  is transversal to the 0-dim submanifold  $\{y\}$  of  $Y$ ), let  $\deg_2(f, y) = \#f^{-1}(y) \bmod 2$ . This makes sense, since in this case  $f^{-1}(y)$  is a finite set (since  $f$  is a local diffeomorphism at each point of the preimage of  $y$ , and  $X$  is compact.) If  $y \in Y$  is not a regular value, find  $g : Y \rightarrow X$  smooth and homotopic to  $f$ , so that  $y$  is a regular value for  $g$ ; then  $g^{-1}(y)$  is finite, and its cardinality mod 2 is independent of the particular  $g$  chosen (by homotopy invariance of the mod 2 intersection number; or directly, since one-dimensional compact manifolds with boundary have an even number of boundary points.) So we set  $\deg_2(f, y) = \#g^{-1}(y)$  for any such  $g$ . The theorem on p. 80 of [G-P] states, in equivalent form:

**Theorem.**  $\deg_2(f, y)$  is independent (mod 2) of the point  $y \in Y$  chosen.

This result is true, but the proof given on p.81 of [G-P] is slightly misleading: it may appear to be based on connectedness of  $Y$  and the fact that  $\#f^{-1}(y)$  is (finite and) locally constant, which is true only for  $y$  in the set of regular values, a set which is not always connected, even if  $Y$  is. And it is not true that  $\#f^{-1}(y)$  is constant over all  $y \in Y$ , even mod 2. Consider the example:

*Example.*  $X = S^1 \subset \mathbb{R}^2, Y = \mathbb{R}, f : X \rightarrow Y, f(x_1, x_2) = x_1$ . Any  $y \neq \pm 1$  is a regular value. But  $\#f^{-1}(\pm 1) = 1$ , while  $\#f^{-1}(y) = 2$  if  $|y| < 1$  and  $\#f^{-1}(y) = 0$  if  $y < -1$  or  $y > 1$  (so it's true that  $\#f^{-1}(y) = 0 \bmod 2$ , if  $y$  is a regular value.) Here we have  $\deg_2(f) = 0$ .

In fact it is easy to find examples of  $f : S^1 \rightarrow \mathbb{R}$  smooth, so that for some  $y \in \mathbb{R}$ ,  $f^{-1}(y)$  contains countably many nondegenerate intervals (so it is very much an infinite set.)

A better proof is given in J. Milnor's *Topology from the differentiable viewpoint*, p.20–25. It leads to a different (but equivalent) definition of the mod 2 degree. Here is an outline. The proof relies on the following lemma:

*Homogeneity Lemma.* Let  $y, z$  be arbitrary interior points of the connected manifold  $N$ . Then there exists a smooth diffeomorphism  $h : N \rightarrow N$ , isotopic to the identity (that is, homotopic to the identity via maps which are diffeomorphisms), so that  $h(y) = z$ .

*Theorem.* Let  $X, Y$  be manifolds of the same dimension, with  $X$  compact, without boundary, and  $Y$  connected. Then if  $y, z$  are regular values of  $f$ , we have:

$$\#f^{-1}(y) \equiv \#f^{-1}(z) \bmod 2.$$

This common residue class mod 2, called the mod 2 degree of  $f$ ,  $\deg_2(f)$ , depends only on the homotopy class of  $f$ .

*Proof.* Let  $h$  be a diffeomorphism of  $Y$  isotopic to the identity, with  $h(y) = z$ .

Then  $z$  is a regular value of  $h \circ f$ , which is homotopic to  $f$ . Thus:

$$\#(h \circ f)^{-1}(z) \equiv \#f^{-1}(z) \pmod{2}.$$

But since  $(h \circ f)^{-1}(z) = f^{-1}(h^{-1}(z)) = f^{-1}(y)$ , we have  $\#(h \circ f)^{-1}(z) = \#f^{-1}(y)$ , and therefore:

$$\#f^{-1}(y) \equiv \#f^{-1}(z) \pmod{2}.$$

Define  $\deg_2 f$  to be this common cardinality of the preimage of a regular value, mod 2.

If  $f$  is (smoothly) homotopic to  $g$ , let  $y \in Y$  be a regular value for both  $f$  and  $g$  (using Sard's theorem). Then  $\#f^{-1}(y) \equiv \#g^{-1}(y)$  (from the fact one-dimensional compact manifolds with boundary have an even number of boundary points). Hence  $\deg_2 f = \deg_2 g$ .  $\square$

*Proof of the homogeneity lemma (outline).*

(i) Let  $B \subset \mathbb{R}^n$  be the open unit ball,  $\varphi : \mathbb{R}^n \rightarrow [0, \infty)$  a smooth function, positive on  $B$  and zero on  $\mathbb{R}^n \setminus B$ . For a unit vector  $c \in S^{n-1}$ , consider the smooth, bounded vector field on  $\mathbb{R}^n$ :  $X_c(x) = \varphi(x)c$  (which vanishes outside of  $B$ ). Let  $\{F_t\}_{t \in \mathbb{R}}$  be the flow of  $X_c$ , a one-parameter group of smooth diffeomorphisms of  $\mathbb{R}^n$ , all isotopic to the identity  $F_0 = Id$ , and equal to the identity outside of  $B$ . ( $F_t(x)$  is the value at time  $t$  of the solution of the system of ODE defined by  $X_c$ , with initial condition  $x \in \mathbb{R}^n$ .) Given  $z_0 \in B$ , we may find  $c$  and  $t$  so that  $F_t(0) = z_0$ .

(ii) Define an equivalence relation in the interior of  $N$ :  $y \sim z$  if there exists a diffeomorphism isotopic to the identity taking  $y$  to  $z$ . Since each point  $y \in N$  has a neighborhood diffeomorphic to  $B \subset \mathbb{R}^n$  (via a chart taking  $y$  to 0), part (i) shows the equivalence classes are open sets. Since  $N$  is connected, there is only one equivalence class.  $\square$