

1. [August 1996 Prelim, Part 4, Question 1] Prove that  $\text{Aut}(\mathbb{C})$  is uncountable.

*Proof.* To do this, we need to “tweak” are result from class.

**Lemma.** *Let  $K/F$  be a field extension, with  $K$  algebraically closed, and  $\sigma \in \text{Aut}(F)$ . Then, there exists  $\tilde{\sigma} \in \text{Aut}(K)$  such that  $\tilde{\sigma}|_F = \sigma$  [i.e., we can extend  $\sigma$  to  $K$ ].*

*Proof.* We’ve basically done it for *algebraic* extensions. Let  $\mathcal{S}$  be the set of all pairs  $(E, \tau)$  where  $E$  is an intermediate extension of  $K/F$  and  $\tau \in \text{Aut}(E)$  that extends  $\sigma$ . We use Zorn’s Lemma to get a maximal element  $(E, \tau) \in \mathcal{S}$ . [This is just like what we did in class!]

We now prove that  $E = K$ . If not, let  $\alpha \in K \setminus E$ . If  $\alpha$  is *transcendental* [i.e., not algebraic] over  $F$ , then  $\tilde{\tau} : E[\alpha] \rightarrow E[\alpha]$  such that  $\tilde{\tau}(\alpha) = \alpha$  and  $\tilde{\tau}|_E = \tau$  is an automorphism of  $E[\alpha]$ , that extends  $\tau$ , contradicting the maximality of  $(E, \tau)$ . Thus, we may assume  $K/E$  is algebraic.

Now, if  $K/E$  is algebraic, and since  $K$  is algebraically closed, then  $K$  is the algebraic closure of  $E$ . We can then extend  $\tau$  to an *embedding*  $\tilde{\tau} : K \rightarrow K$ . But,  $K$  being algebraically closed, we get that  $\tilde{\tau}$  is also onto [also done in class], so  $\tilde{\tau} \in \text{Aut}(K)$ . This, again, contradicts the maximality of  $(E, \tau)$ .  $\square$

Now, we know that  $T = \{t \in \mathbb{C} : t \text{ is transcendental over } \mathbb{Q}\}$  is uncountable. Take  $t_1, t_2 \in T$  with  $t_1 \neq t_2$ , and let  $F \stackrel{\text{def}}{=} \mathbb{Q}(t_1, t_2)$ . Then, we have that  $\sigma : F \rightarrow F$ , given by  $\sigma(t_1) = t_2$ ,  $\sigma(t_2) = t_1$  and  $\sigma|_{\mathbb{Q}} = \text{id}_{\mathbb{Q}}$  is an automorphism of  $F$ . By the lemma, it can be extended to  $\mathbb{C}$ . Since we have uncountably many different  $\sigma$ ’s constructed this way [using the uncountably many elements of  $T$ ], we get uncountably many elements in  $\text{Aut}(\mathbb{C})$ .  $\square$

2. [August 2006 Prelim, Part IV, Question 1] Let  $K/F$  be an infinite extension. Show that there exists an infinite chain of intermediate fields between  $K$  and  $F$ .

*Proof.* Suppose that  $K/F$  is algebraic. Let  $\alpha_1 \in K \setminus F$ . Define  $F_1 \stackrel{\text{def}}{=} F[\alpha_1]$ . [Since  $\alpha_1 \notin F$ ,  $F_1 \neq F$ .]

Since  $F_1/F$  is finite, we have that  $K/F_1$  is infinite and we can take  $\alpha_2 \in K \setminus F_1$ . Then, let  $F_2 \stackrel{\text{def}}{=} F_1[\alpha_2]$ , and proceed inductively. We then obtain a chain:

$$F \subsetneq F_1 \subsetneq F_2 \subsetneq \cdots \subseteq K.$$

If  $K/F$  is not algebraic, we gave a transcendental element  $t \in K$ . Then, we get that

$$F \subseteq \cdots F(t^8) \subsetneq F(t^4) \subsetneq F(t^2) \subsetneq F(t) \subseteq K.$$

□

3. Example of *finite* extension  $K/F$  with infinitely many intermediate extensions.

*Proof.* Let  $K = \mathbb{F}_p(s, t)$  and  $F = \mathbb{F}_p(s^p, t^p)$ . Then, we have that  $[K : F] = p^2$ . [Check!] Also,  $K/F$  has no primitive element. If  $\alpha \in K$ , then  $\alpha$  is a rational function on  $s$  and  $t$  and hence  $\alpha^p$  is a rational function on  $s^p$  and  $t^p$ , so is in  $F$ . Thus, for all  $\alpha \in K$ ,  $[F[\alpha] : F] \leq p < p^2 = [K : F]$ , so  $F[\alpha] \neq K$ .

Indeed, we have infinitely many intermediate extensions: let  $E_i \stackrel{\text{def}}{=} F[s + s^{ip}t]$ , for  $i \in \{1, 2, 3, \dots\}$ . If  $E_i = E_j$ , for  $i \neq j$ , say both equal  $E$ , then  $(s + s^{ip}t) - (s + s^{jp}t) = t(s^{ip} - s^{jp}) \in E$ . Since  $F \subseteq E$ , we get that also  $(s^{ip} - s^{jp}) \in E$ , and thus the quotient of these elements, namely  $t$ , is also in  $E$ .

So, we have that  $t, s^{ip}, s + s^{ip}t \in E$ , and thus  $s \in E$ . But then  $s, t \in E$  and therefore  $E = K$ . But this is a contradiction, as  $E/F$  has a primitive element [e.g.,  $s + s^{ip}t$ ].

So, the extensions  $E_i$  are all distinct, giving infinitely many intermediate extensions between  $F$  and  $K$ . □