

## Problems 3.5-7

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5. (i)  $X$  Hausdorff,  $K \subseteq X$  is compact  $\implies K$  is closed in  $X$ .  
(ii)  $X$  compact Hausdorff,  $C \subseteq X$  closed  $\implies C$  is compact.  
(iii)  $X, Y$  Hausdorff,  $X$  compact,  $f : X \rightarrow Y$  continuous, injective  $\implies f$  is an embedding.

*Proof.* (i) Let  $X$  be Hausdorff and  $K \subseteq X$  be compact. We show that  $X \setminus K$  is open. To do so, let  $x \in X \setminus K$ . Since  $X$  is Hausdorff, for any  $y \in K$ ,  $\exists U_y, V_y$  open in  $X$  with  $x \in U_y$ ,  $y \in V_y$ , and  $U_y \cap V_y = \emptyset$ . As  $y$  ranges over all of  $K$ ,  $\{V_y\}$  is an open cover of  $K$ . Since  $K$  is compact, there is a finite subcover, say  $\{V_{y_1}, \dots, V_{y_n}\}$ . Take

$$U = \bigcap_{i=1}^n U_{y_i}.$$

This is open, as it is a finite intersection of open sets. Further, since  $x \in U_{y_i}$  for all  $i$ ,  $x \in U$ . We show that  $U$  is disjoint from  $K$ . Since  $\{V_{y_1}, \dots, V_{y_n}\}$  is an open cover of  $K$ , we have

$$K \subseteq \bigcup_{i=1}^n V_{y_i}.$$

Hence if  $z \in U$ , then  $z \in U_{y_i}$  for all  $i$ , so  $z \notin V_{y_i}$  for any  $i$ . Thus

$$z \notin \bigcup_{i=1}^n V_{y_i},$$

giving  $z \notin K$ . From this,  $x \in U \subseteq X \setminus K$ , so  $X \setminus K$  is open. Therefore  $K$  is closed, as desired.

- (ii) Let  $X$  be compact Hausdorff and  $C \subseteq X$  be closed. Let  $\{U_\alpha\}_{\alpha \in \Lambda}$  be an open cover of  $C$ . Then  $\{U_\alpha\}_{\alpha \in \Lambda} \cup \{X \setminus C\}$  is an open cover of  $X$ , and since  $X$  is compact, there is a finite subcover  $\{U_1, \dots, U_n\} \cup \{X \setminus C\}$ , where  $X \setminus C$  may or may not need to be in the subcover. In any case,  $\{U_1, \dots, U_n\}$  is a finite subcover of  $\{U_\alpha\}_{\alpha \in \Lambda}$ , showing that  $C$  is compact.  
(iii) Let  $X, Y$  be Hausdorff,  $X$  be compact,  $f : X \rightarrow Y$  be continuous and injective. All that we need to do is show that  $f$  is open or closed, then we get that  $f$  is an embedding. Let  $F \subseteq X$  be closed. By (ii) above,  $F$  is compact. Then by 5.5 below,  $f(F)$  is compact in  $Y$ . Since  $f(F)$  is compact, by (i) above, it is closed in  $Y$ . Therefore  $f$  is a closed map, and hence an embedding into  $Y$ .  $\square$

5.5.  $C \subseteq X$  a compact subset,  $f : X \rightarrow Y$  continuous  $\implies f(C)$  is a compact subset of  $Y$ .

*Proof.* Let  $C \subseteq X$  be a compact subset and  $f : X \rightarrow Y$  be continuous. Let  $\{U_i\}_{i \in I}$  be an open cover of  $f(C)$ . Then since  $f$  is continuous,  $\{f^{-1}(U_i)\}_{i \in I}$  is an open cover of  $C$ .

Since  $C$  is compact,  $\exists f^{-1}(U_1), \dots, f^{-1}(U_n)$  such that  $\{f^{-1}(U_1), \dots, f^{-1}(U_n)\}$  is a finite subcover. Then  $\{U_1, \dots, U_n\}$  is a finite subcover of  $\{U_i\}_{i \in I}$ . Thus  $f(C)$  is compact in  $Y$ .  $\square$

6. (i)  $X$  is compact iff any family  $\{C_\lambda\}_{\lambda \in A}$  of closed subsets of  $X$  with the *finite intersection property* has nonempty intersection:

$$\left( \bigcap_{\lambda \in F} C_\lambda \neq \emptyset, \quad \forall F \subseteq A \text{ finite} \right) \implies \bigcap_{\lambda \in A} C_\lambda \neq \emptyset.$$

- (ii)  $K_1 \supseteq K_2 \supseteq \dots$  compact and nonempty  $\implies$

$$\bigcap_{n=1}^{\infty} K_n \neq \emptyset.$$

*Proof.* (i) ( $\implies$ ) Assume  $X$  is compact. Let  $\{C_\lambda\}_{\lambda \in A}$  be a collection of closed sets with the finite intersection property. Assume to the contrary that

$$\bigcap_{\lambda \in A} C_\lambda = \emptyset.$$

Taking complements, we have that

$$\bigcup_{\lambda \in A} (X \setminus C_\lambda) = X.$$

But since  $X \setminus C_\lambda$  are open, this gives an open cover of  $X$ , which has a finite subcover, say  $\{X \setminus C_\lambda\}_{\lambda \in F}$  for  $F \subseteq A$  finite such that

$$\bigcup_{\lambda \in F} (X \setminus C_\lambda) = X.$$

But taking complements again gives

$$\bigcap_{\lambda \in F} C_\lambda = \emptyset,$$

violating the finite intersection property.

( $\impliedby$ ) Assume that for any collection of closed sets with the finite intersection property, the intersection of the collection is nonempty. Assume to the contrary that  $X$  is not compact. Then  $\exists \{U_\alpha\}_{\alpha \in \Lambda}$  an open cover of  $X$  such that  $\forall F \subseteq \Lambda$  finite subsets,  $\{U_\alpha\}_{\alpha \in F}$  is not a cover of  $X$ . But this means that

$$\bigcup_{\alpha \in \Lambda} U_\alpha = X$$

and

$$\bigcup_{\alpha \in F} U_\alpha \neq X \quad \forall F \subseteq \Lambda \text{ finite.}$$

Taking complements, we get

$$\bigcap_{\alpha \in \Lambda} (X \setminus U_\alpha) = \emptyset$$

and

$$\bigcap_{\alpha \in F} (X \setminus U_\alpha) \neq \emptyset \quad \forall F \subseteq \Lambda \text{ finite,}$$

but this is a contradiction to our original assumption.

- (ii) Let  $K_1 \supseteq K_2 \supseteq \dots$  be nonempty compact sets. Assume to the contrary that

$$\bigcap_{n=1}^{\infty} K_n = \emptyset.$$

Then taking complements (in  $K_1$ ) gives

$$\bigcup_{n=1}^{\infty} (K_1 \setminus K_n) = K_1,$$

which yields an open cover of  $K_1$ . As  $K_1$  is compact, there is a finite subcover, say  $\{K_1 \setminus K_1, \dots, K_1 \setminus K_n\}$ . Hence

$$\bigcup_{i=1}^n (K_1 \setminus K_n) = K_1.$$

But taking complements again gives

$$\bigcap_{i=1}^n K_n = \emptyset,$$

a contradiction since these are nonempty nested sets. □

## 7. Compact Hausdorff spaces are normal.

*Proof.* Let  $X$  be compact Hausdorff. First we show that  $X$  is regular. Let  $A \subseteq X$  be closed and let  $b \in X \setminus A$ . By Hausdorff, for each  $a \in A$ ,  $\exists U_a, V_a$  open in  $X$  such that  $a \in U_a$ ,  $b \in V_a$ , and  $U_a \cap V_a = \emptyset$ . Then  $\{U_a\}_{a \in A}$  is an open cover of  $A$ , and since  $A$  is a closed subset of a compact set  $X$ , it is too compact. Because of this, here is a finite subcover of  $\{U_a\}_{a \in A}$ , say  $\{U_1, \dots, U_n\}$ . Defining

$$U = \bigcup_{i=1}^n U_i$$

and

$$V = \bigcap_{i=1}^n V_i,$$

where  $\{V_1, \dots, V_n\}$  are the  $V_a$  corresponding to the  $U_a$  in  $\{U_1, \dots, U_n\}$ . We have that  $A \subseteq U$  and  $b \in V$ , so we must show that  $U \cap V = \emptyset$ .

Let  $x \in U$ . Then  $x \in U_i$  for some  $i$ , so by construction,  $x \notin V_i$ , since  $U_i$  and  $V_i$  are disjoint. This shows that  $x \notin V$ , and that  $U \cap V = \emptyset$ .

Now we show that  $X$  is normal. Let  $A, B$  be closed sets in  $X$  such that  $A \cap B = \emptyset$ . For each  $b \in B$ , apply the regularity of  $X$  to get  $U_b, V_b$  open with  $A \subseteq U_b$ ,  $b \in V_b$ , and  $U_b \cap V_b = \emptyset$ .  $\{V_b\}_{b \in B}$  is an open cover of  $B$ , which is compact, so there is a finite subcover  $\{V_1, \dots, V_n\}$ . Defining

$$V = \bigcup_{i=1}^n V_i$$

and

$$U = \bigcap_{i=1}^n U_i,$$

where again the  $U_i$  are the  $U_b$  corresponding to the  $V_b$  in  $\{V_1, \dots, V_n\}$ . Then  $A \subseteq U$ ,  $B \subseteq V$ , and  $A \cap B = \emptyset$  because if  $x \in U$ , then  $x \in U$  for all  $i$ , giving  $x \in V_i$  for no  $i$ , hence  $x \notin V$ . Therefore  $U \cap V = \emptyset$  and  $X$  is normal. □