

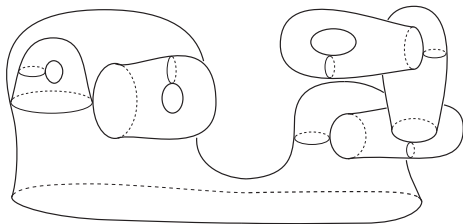
Grope concordance and a conjecture of Levine

Jim Conant, Rob Schneiderman, Peter Teichner

September 23, 2010

- 1 A Geometric Filtration of Classical Link Concordance
- 2 From \mathcal{I}_n to D_n and D'_n .
- 3 Proving the Levine Conjecture
- 4 Discrete Morse Theory
- 5 The Nitty-Gritty

Motivating Question



A grope of class 4.

Question

Under what conditions do the components of a link in the 3-sphere bound class n gropes disjointly embedded in the 4-ball?

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- Conant-Schneiderman-Teichner (2007) showed that the Kontsevich integral rationally classifies *string link* grope cobordism in three-dimensions.
- Conant-Teichner (2004) and Schneiderman (2005) showed that a knot bounds a grope of arbitrary class into the 4-ball provided the Arf invariant vanishes. Schneiderman gave an explicit geometric construction!

Grope concordance filtration (by class) of the set of framed links with m components \mathbb{L} :

$$\cdots \subseteq \mathbb{G}_3 \subseteq \mathbb{G}_2 \subseteq \mathbb{G}_1 \subseteq \mathbb{G}_0 \subseteq \mathbb{L}$$

- $\mathbb{G}_n = \mathbb{G}_n(m)$ is the set of framed links that bound class $(n+1)$ framed gropes disjointly embedded in B^4 .
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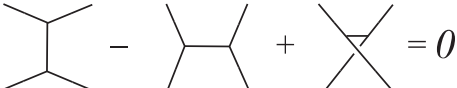
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- $\mathbb{G}_n = \mathbb{G}_n(m)$ is the set of framed links that bound class $(n+1)$ framed gropes disjointly embedded in B^4 .
- \mathbb{G}_0 is the set of evenly framed links.
- The *associated graded* $G_n = G_n(m)$ is the quotient of \mathbb{G}_n modulo grope concordance of class $n+2$.

The groups \mathcal{T}_n

Let $\mathcal{T} = \mathcal{T}(m)$ be the free abelian group on oriented univalent trees with leaves labeled by $\{1, \dots, m\}$, modulo the antisymmetry (AS) and Jacobi (IHX) relations.

AS: 

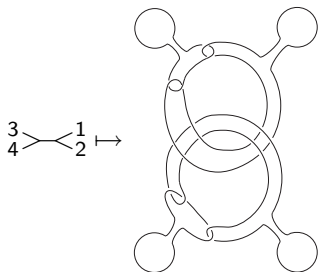
IHX: 

\mathcal{T} inherits a grading by the number of trivalent vertices.

A construction known to at least Bing, Cochran and Habiro leads to a *realization map*

$$R_n : \mathcal{T}_n \rightarrow G_n$$

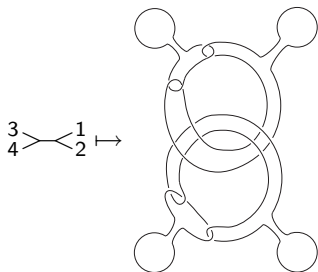
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- The IHX relation in the domain \mathcal{T}_n corresponds to a geometric IHX relation on embedded gropes in 4-space.

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Free and quasi-free Lie algebras

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- So $2[X, X] = 0 \in L'_{2k}$. But $[X, [Y, Y]] = 0 \in L'_k$ by the Jacobi identity.
- $0 \rightarrow \mathbb{Z}_2 \otimes L_n \rightarrow L'_{2n} \rightarrow L_{2n} \rightarrow 0$ (Levine)

The groups D_n and D'_n

D_n and D'_n are defined as kernels of bracketing maps:

$$0 \rightarrow D_n \rightarrow V \otimes L_{n+1} \rightarrow L_{n+2} \rightarrow 0$$

$$0 \rightarrow D'_n \rightarrow V \otimes L'_{n+1} \rightarrow L'_{n+2} \rightarrow 0$$

D_n is the natural home for μ -invariants. We'll come back to this!

Corollary

The set G_n is a finitely generated abelian group under a well-defined connect-sum operation.

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Theorem

Milnor invariants of length $\leq 2n+1$ vanish on G_{2n} and the total Milnor invariant of length $2n+2$, μ_{2n} , fits into a commutative triangle of isomorphisms:

$$\begin{array}{ccc} \mathcal{T}_{2n} & \xrightarrow{R_{2n}} & G_{2n} \\ & \searrow \cong & \downarrow \mu_{2n} \\ & \eta & D'_{2n} \end{array}$$

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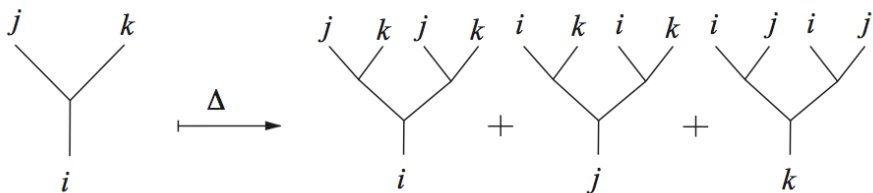
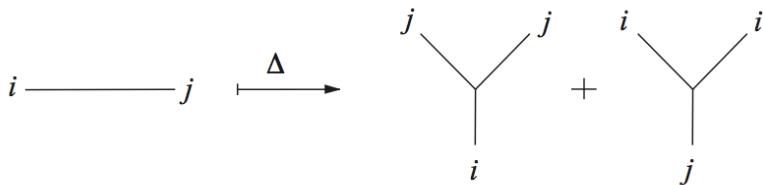
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The proof of this theorem and the ones to follow uses Schneiderman's equivalence between gropes and Whitney towers.

The *reduced* version $\widetilde{\mathcal{T}}_{2n-1}$ of \mathcal{T}_{2n-1} is defined by dividing out the *framing relations* (FR), which are images of homomorphisms

$$\Delta_{2n-1} : \mathbb{Z}/2 \otimes \mathcal{T}_{n-1} \rightarrow \mathcal{T}_{2n-1}$$

defined by sending an order $n-1$ tree t to the sum of trees gotten by doubling the subtree adjacent to each univalent vertex of t .



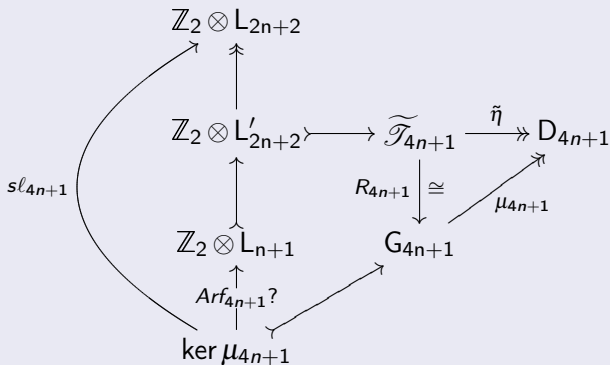
Theorem

The map R_{4n-1} is an isomorphism, detected by the total Milnor invariant of length $4n+1$ and higher-order Sato-Levine invariants.

$$\begin{array}{ccccc}
 \mathbb{Z}_2 \otimes L_{2n+1} & \xrightarrow{\quad} & \widetilde{\mathcal{F}}_{4n-1} & \xrightarrow{\tilde{\eta}} & D_{4n-1} \\
 \uparrow \text{sl}_{4n} & & \downarrow R_{4n-1} \cong & \nearrow \mu_{4n-1} & \\
 \mathbb{Z}_2 \otimes D_{4n} & & G_{4n-1} & & \\
 \uparrow \mu_{4n} & \nearrow & & & \\
 \ker \mu_{4n-1} & & & &
 \end{array}$$

Conjecture

$R_{4n+1}: \widetilde{\mathcal{T}}_{4n+1} \rightarrow G_{4n+1}$ is an isomorphism, classified by Milnor invariants of length $4n+3$, higher-order Sato-Levine invariants, and higher order Arf invariants.



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- The *first non-vanishing Milnor invariant* $\mu_n(L)$ of order n is defined to be

$$\mu_n(L) := \sum_i X_i \otimes \mu_n^i(L) \in D_n \subset V \otimes L_{n+1}$$

Jerry Levine defined a homomorphism

$$\eta': \mathcal{T}_n \rightarrow D'_n.$$

$$\begin{array}{c} i \\ \diagdown \\ \text{---} \\ \diagup \\ l \end{array}
 \begin{array}{c} j \\ \diagup \\ \text{---} \\ \diagdown \\ k \end{array}
 \xrightarrow{\eta'}
 X_i \otimes \begin{array}{c} j \\ \diagdown \\ \text{---} \\ \diagup \\ \bullet \end{array}
 \begin{array}{c} k \\ \diagup \\ \text{---} \\ \diagdown \\ l \end{array}
 + X_j \otimes \begin{array}{c} k \\ \diagdown \\ \text{---} \\ \diagup \\ \bullet \end{array}
 \begin{array}{c} l \\ \diagup \\ \text{---} \\ \diagdown \\ i \end{array}
 + X_k \otimes \begin{array}{c} l \\ \diagdown \\ \text{---} \\ \diagup \\ \bullet \end{array}
 \begin{array}{c} i \\ \diagup \\ \text{---} \\ \diagdown \\ j \end{array}
 + X_l \otimes \begin{array}{c} i \\ \diagdown \\ \text{---} \\ \diagup \\ \bullet \end{array}
 \begin{array}{c} j \\ \diagup \\ \text{---} \\ \diagdown \\ k \end{array}$$

Levine was studying the group of homology cylinders over a surface with one boundary component.

The Levine Conjecture

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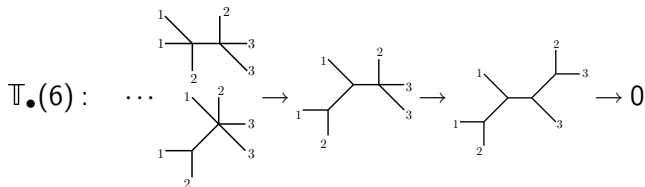
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- 2 Levine showed that η' is onto.
- 3 Levine, and independently, Habiro, showed that η'_n is injective when n is prime and many other special cases.

Lifting to Chain Complexes



Lifting to Chain Complexes

$$\mathbb{T}_\bullet(6) : \dots \rightarrow \text{Diagram 1} \rightarrow \text{Diagram 2} \rightarrow \text{Diagram 3} \rightarrow 0$$

The sequence of diagrams in the chain complex $\mathbb{T}_\bullet(6)$ consists of three diagrams with three strands labeled 1, 2, and 3. The first diagram is a crossing of strands 1 and 2, with strand 3 passing over both. The second diagram shows strand 1 crossing over strand 2, with strand 3 passing over both. The third diagram shows strand 2 crossing over strand 1, with strand 3 passing over both.

$$\partial \mapsto \text{Diagram A} + \text{Diagram B} + \text{Diagram C}$$

The differential ∂ maps a crossing of strands 1 and 2 to the sum of three diagrams: a crossing of strands 1 and 2 with strand 3 passing over both, a crossing of strands 1 and 2 with strand 3 passing under both, and a crossing of strands 1 and 2 with strand 3 passing over both and a crossing of strands 1 and 2.

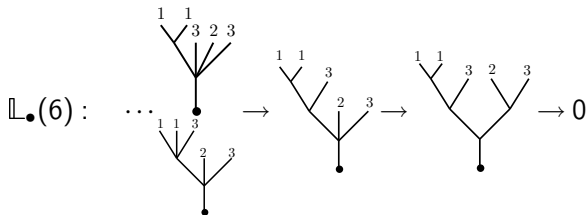
Lifting to Chain Complexes

$$\mathbb{T}_\bullet(6) : \quad \dots \rightarrow \begin{array}{c} 1 \quad 2 \\ \diagdown \quad \diagup \\ 1 \quad 2 \quad 3 \\ | \quad | \quad | \\ 2 \quad 3 \end{array} \rightarrow \begin{array}{c} 1 \quad 2 \\ \diagdown \quad \diagup \\ 1 \quad 2 \quad 3 \\ | \quad | \quad | \\ 2 \quad 3 \end{array} \rightarrow \begin{array}{c} 1 \quad 2 \\ \diagdown \quad \diagup \\ 1 \quad 2 \quad 3 \\ | \quad | \quad | \\ 2 \quad 3 \end{array} \rightarrow 0$$

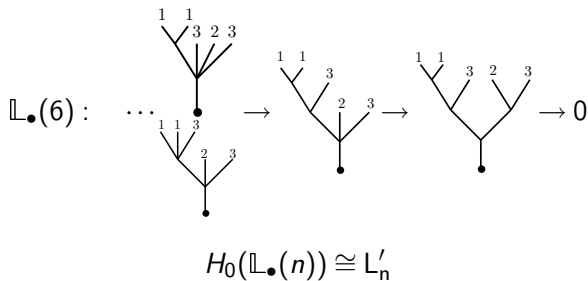
$$\begin{array}{c} \text{X} \\ \text{---} \end{array} \xrightarrow{\partial} \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} + \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} + \begin{array}{c} \text{---} \\ \text{---} \end{array}$$

$$H_0(\mathbb{T}_\bullet(n+2)) \cong \mathcal{T}_n$$

Lifting to Chain Complexes

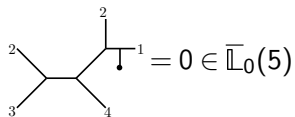


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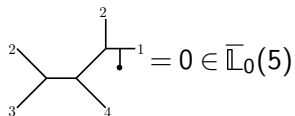
Lifting the bracketing map

- $0 \rightarrow V \otimes \mathbb{L}_\bullet(n+1) \rightarrow \mathbb{L}_\bullet(n+2) \rightarrow \overline{\mathbb{L}}_\bullet(n+2) \rightarrow 0$


$$= 0 \in \overline{\mathbb{L}}_0(5)$$

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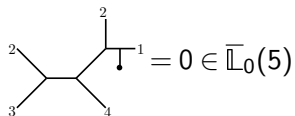
- $0 \rightarrow V \otimes \mathbb{L}_\bullet(n+1) \rightarrow \mathbb{L}_\bullet(n+2) \rightarrow \overline{\mathbb{L}}_\bullet(n+2) \rightarrow 0$


$$\text{Tree diagram} = 0 \in \overline{\mathbb{L}}_0(5)$$

- $\dots \rightarrow H_1(\mathbb{L}_\bullet(n+2)) \rightarrow H_1(\overline{\mathbb{L}}_\bullet(n+2)) \rightarrow V \otimes H_0(\mathbb{L}_\bullet(n+1)) \rightarrow H_0(\mathbb{L}_\bullet(n+2)) \rightarrow 0$

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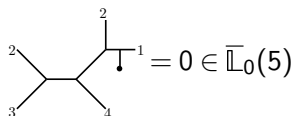

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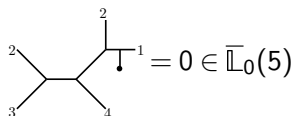
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$$\begin{array}{c} & & 2 & & \\ & & | & & \\ 2 & & & & 1 \\ / & & & & | \\ & & & & 1 \\ \backslash & & & & \\ & & & & \\ 3 & & & & 4 \end{array} = 0 \in \overline{\mathbb{L}}_0(5)$$

- $\dots \rightarrow H_1(\mathbb{L}_\bullet(n+2)) \rightarrow H_1(\overline{\mathbb{L}}_\bullet(n+2)) \rightarrow V \otimes H_0(\mathbb{L}_\bullet(n+1)) \rightarrow H_0(\mathbb{L}_\bullet(n+2)) \rightarrow 0$
- $\dots \rightarrow H_1(\mathbb{L}_\bullet(n+2)) \rightarrow H_1(\overline{\mathbb{L}}_\bullet(n+2)) \rightarrow V \otimes L'_{n+1} \rightarrow L'_{n+2} \rightarrow 0$
- To prove Levine's conjecture, it is sufficient to show
 - $H_1(\mathbb{L}_\bullet(n+2)) = 0$
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- Both proofs use the technique of *discrete Morse theory* in the context of abstract chain complexes.

Definition (Homological Vector Field)

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- 1 A vector is a pair of basis elements $(\mathbf{b}_{k-1}^i, \mathbf{b}_k)$ in degrees $k-1$ and k respectively, such that $\partial(\mathbf{b}_k) = r_i \mathbf{b}_{k-1}^i + \sum_{i \neq j} c_j \mathbf{b}_{k-1}^j$, where $r_i \in R$ is invertible, and the coefficients $c_j \in R$ are arbitrary.

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- 2 A (homological) vector field, Δ , is a collection of vectors (\mathbf{a}, \mathbf{b}) such that every basis element appears in at most one such vector.
- 3 A gradient path is a sequence of basis elements

$$\mathbf{a}_1, \mathbf{b}_1, \mathbf{a}_2, \mathbf{b}_2, \dots, \mathbf{a}_m$$

where each $(\mathbf{a}_i, \mathbf{b}_i) \in \Delta$, and $\mathbf{a}_i \neq \mathbf{a}_{i-1}$ has nonzero coefficient in $\partial \mathbf{b}_{i-1}$.

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Let R be a commutative ring with unit, and let (C_\bullet, ∂) be a chain complex where each C_k is a free R -module, with a fixed basis $\{\mathbf{b}_k^i\}$.

- 1 A vector is a pair of basis elements $(\mathbf{b}_{k-1}^i, \mathbf{b}_k)$ in degrees $k-1$ and k respectively, such that $\partial(\mathbf{b}_k) = r_i \mathbf{b}_{k-1}^i + \sum_{i \neq j} c_j \mathbf{b}_{k-1}^j$, where $r_i \in R$ is invertible, and the coefficients $c_j \in R$ are arbitrary.
- 2 A (homological) vector field, Δ , is a collection of vectors (\mathbf{a}, \mathbf{b}) such that every basis element appears in at most one such vector.
- 3 A gradient path is a sequence of basis elements

$$\mathbf{a}_1, \mathbf{b}_1, \mathbf{a}_2, \mathbf{b}_2, \dots, \mathbf{a}_m$$

where each $(\mathbf{a}_i, \mathbf{b}_i) \in \Delta$, and $\mathbf{a}_i \neq \mathbf{a}_{i-1}$ has nonzero coefficient in $\partial \mathbf{b}_{i-1}$.

- 4 A vector field is said to be a gradient field if there are no closed gradient paths.

A basis element is said to be *critical* if doesn't appear in any vector of the vector field.

Theorem (Kozlov)

The chain complex (C_, ∂) is quasi-isomorphic to a chain complex $(C_*^\Delta, \partial^\Delta)$, called the Morse complex, with basis in one-to-one correspondence with critical generators.*

The proof that $\mathcal{T}_n \cong H_1(\overline{\mathbb{L}}_\bullet(n+2))$

Key idea:

η'_n lifts uniquely to a map $\bar{\eta}_n$.

$$\begin{array}{ccc}
 \mathcal{T}_n & & \\
 \downarrow \eta'_n & \searrow \eta'_n & \\
 H_1(\overline{\mathbb{L}}_\bullet(n+2)) & \longrightarrow & \mathbb{Z}^m \otimes L'_{n+1} \twoheadrightarrow L'_{n+2}
 \end{array}$$

$$\bar{\eta}_n \left(\begin{array}{c} 2 \\ | \\ \diagup \quad \diagdown \\ | \quad | \\ \diagdown \quad \diagup \\ | \quad | \\ 3 \quad 2 \end{array} \right) = \begin{array}{c} 2 \\ | \\ \diagup \quad \diagdown \\ | \quad | \\ \diagdown \quad \diagup \\ | \quad | \\ 3 \quad 2 \end{array} + \begin{array}{c} 2 \\ | \\ \diagup \quad \diagdown \\ | \quad | \\ \diagdown \quad \diagup \\ | \quad | \\ 3 \quad 2 \end{array} + \begin{array}{c} 2 \\ | \\ \diagup \quad \diagdown \\ | \quad | \\ \diagdown \quad \diagup \\ | \quad | \\ 3 \quad 2 \end{array} + \begin{array}{c} 2 \\ | \\ \diagup \quad \diagdown \\ | \quad | \\ \diagdown \quad \diagup \\ | \quad | \\ 3 \quad 2 \end{array}$$

There is a *chain map*

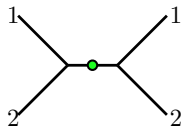
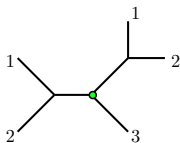
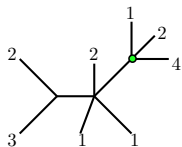
$$\eta: \mathbb{T}_{\bullet}(n+2) \rightarrow \overline{\mathbb{L}}_{\bullet+1}(n+2)$$

such that $H_0(\eta) = \bar{\eta}$.

$$\eta(\text{tree}) = \text{tree}_1 + \text{tree}_2 + \text{tree}_3$$

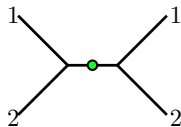
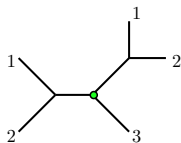
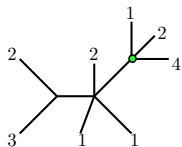
A vector field on $\overline{\mathbb{T}}_{\bullet}(n+2)$

- Choose basepoints fixed by $Aut(t)$ for every $t \in \mathbb{T}_{\bullet}(n)$, at vertices when possible.

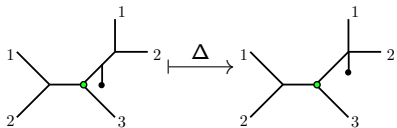


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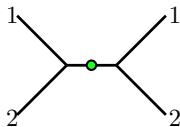
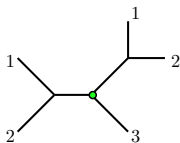
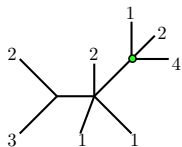


- Define Δ by "pushing away from the basepoint."

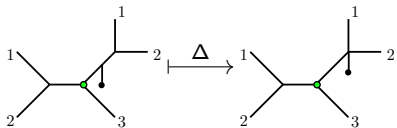


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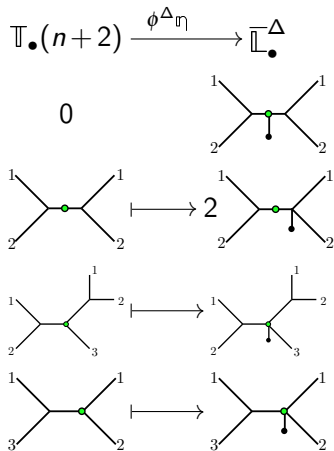


- Define Δ by "pushing away from the basepoint."



- Here we needed to generalize Kozlov's result to a special non-free case.

Critical Generators in $\overline{\mathbb{L}}_{\bullet}(n+2)$



$$\text{cok}_\bullet = \bigoplus \{ 0 \rightarrow \mathbb{Z}_2 \left(\begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} \right) \rightarrow \mathbb{Z}_2 \left(\begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} \right) \rightarrow 0 \}$$

The diagrams in the sequence are:

 1. A central green dot with a small black dot below it. Two lines extend upwards and outwards, labeled '1' at their ends. Two lines extend downwards and outwards, labeled '2' at their ends.

 2. An identical diagram to the first one.



$$\text{cok}_\bullet = \bigoplus \{ 0 \rightarrow \mathbb{Z}_2 \left(\begin{array}{c} \text{1} \quad \quad \text{1} \\ \diagdown \quad \diagup \\ \bullet \\ \diagup \quad \diagdown \\ \text{2} \quad \quad \text{2} \end{array} \right) \rightarrow \mathbb{Z}_2 \left(\begin{array}{c} \text{1} \quad \quad \text{1} \\ \diagdown \quad \diagup \\ \bullet \\ \diagup \quad \diagdown \\ \text{2} \quad \quad \text{2} \end{array} \right) \rightarrow 0 \}$$

- So the cokernel is acyclic.



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- The kernel is not acyclic, but in degree 0, it represents 0 in homology.

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- Thus $H_0(\eta): H_0(\mathbb{T}_\bullet(n+2)) \xrightarrow{\cong} H_1(\overline{\mathbb{L}}_\bullet(n+2)) \cong D'_n$

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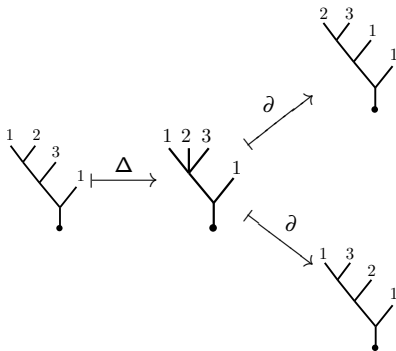
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- Thus $H_0(\eta): H_0(\mathbb{T}_\bullet(n+2)) \xrightarrow{\cong} H_1(\overline{\mathbb{L}}_\bullet(n+2)) \cong D'_n$
- The proof is complete!

- To show $H_1(\mathbb{L}_\bullet(n)) = 0$ we construct a vector field $\Delta = \Delta_0 \cup \Delta_1$ where $\Delta_j: \mathbb{L}_j \rightarrow \mathbb{L}_{j+1}$ with no critical vectors in degree 1.

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- Work first with $\mathbb{Z}[\frac{1}{2}]$ coefficients.
- $H_0(\mathbb{L}_\bullet(n); \mathbb{Z}[\frac{1}{2}]) \cong \mathbb{Z}[\frac{1}{2}] \otimes L_n$ has a well-known basis, called the Hall basis, so our strategy is to define $\Delta_0(J)$ to be some nontrivial contraction of J for every non-Hall tree J .



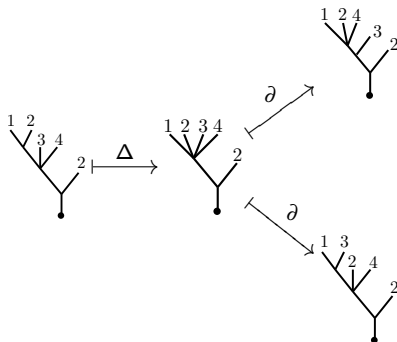
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- This is the most one can hope to do for Δ_0 , because Hall trees need to survive as a basis for $H_0(\mathbb{L}_\bullet(n); \mathbb{Z}[\frac{1}{2}])$.

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- We combinatorially characterize what it means to be $Hall_1$.
- We define Δ_1 for each different type of $Hall_1$ problem as a certain contraction.



- The resulting vector field is again shown to be gradient by arguing that the Hall order increases as one moves along gradient paths. This then proves the $\mathbb{Z}[\frac{1}{2}]$ -coefficients case.

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- The chain complex $\mathbb{L}_\bullet(n)$ is not free, so we can't use the universal coefficient theorem, but through some trickery we are still able to conclude that $H_1(\mathbb{L}_\bullet(n); \mathbb{Z}) = 0$.