

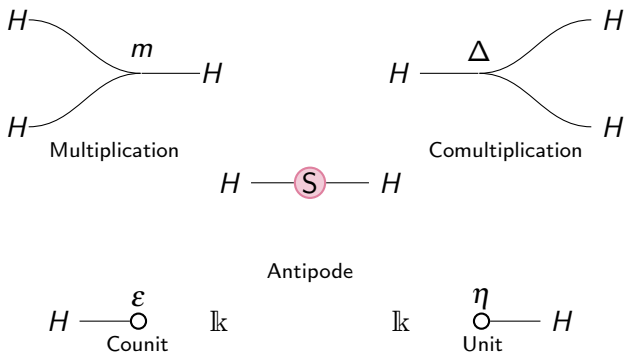
# The Johnson Homomorphism and its Cokernel

Jim Conant (partially joint with Martin Kassabov, Karen Vogtmann)

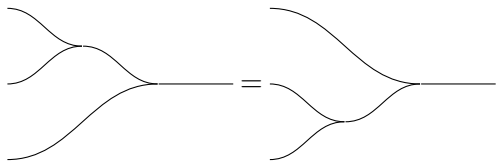
May 24, 2014

# Appetizer: $\text{Aut}(F_n)$ and cocommutative Hopf algebras

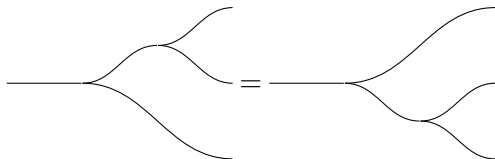
Let  $H$  be a cocommutative Hopf algebra.



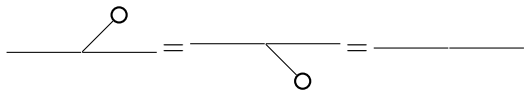
Associativity:



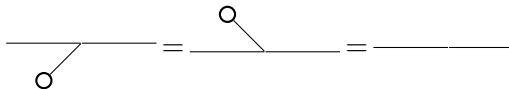
Coassociativity:



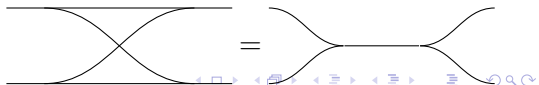
$(\varepsilon \otimes \text{id}) \circ \Delta = (\text{id} \otimes \varepsilon) \circ \Delta = \text{id}$ :



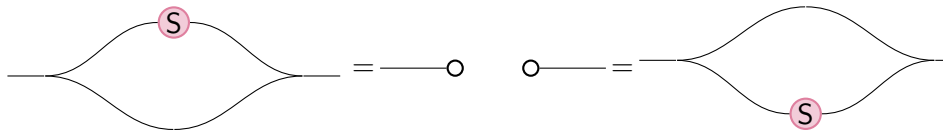
$m \circ (\eta \otimes \text{id}) = m \circ (\text{id} \otimes \eta) = \text{id}$ :



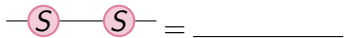
Compatibility of  $m$  and  $\Delta$ :



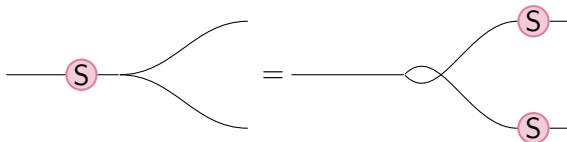
$$m \circ (\text{id} \otimes S) \circ \Delta = \eta \circ \varepsilon = m \circ (S \otimes \text{id}) \circ \Delta :$$



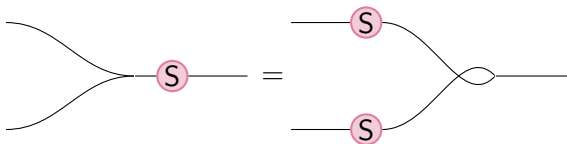
$$S^2 = \text{id} :$$



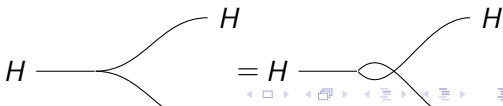
Compatibility of  $S$  and  $\Delta$ :



Compatibility of  $S$  and  $m$ :



Cocommutativity:



We define an action of  $\text{End}(F_n)$  on  $H^{\otimes n}$  as follows.

- 1 Let  $\varphi: F_n \rightarrow F_n$  be an endomorphism.  $\varphi(x_i) = w_i$ .

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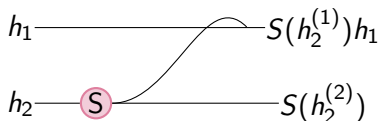
- 1 Let  $\varphi: F_n \rightarrow F_n$  be an endomorphism.  $\varphi(x_i) = w_i$ .
- 2 Define  $\varphi \cdot h_1 \otimes \cdots \otimes h_n$  as follows. If  $x_i$  appears  $m_i$  times in all of the image words  $w_1, \dots, w_n$ , consider  $\Delta^{m_i}(h_i) = h_i^{(1)} \otimes \cdots \otimes h_i^{(m_i)}$ .

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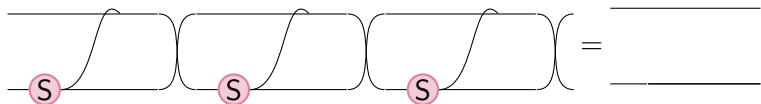
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- 3 Then use  $(w_1, \dots, w_n)$  as a template, substituting the factors of  $\Delta^{m_i}(h_i)$  for the occurrences of  $x_i$ , applying  $S$  in the cases where  $x_i$  is inverted.

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- 4 For example, if  $\eta: F_2 \rightarrow F_2$  is defined by  $x_1 \mapsto x_2^{-1}x_1$ ,  $x_2 \mapsto x_2^{-1}$ , then the action of  $\eta$  on  $H^{\otimes 2}$  looks like:







Puzzle: Show  $(\sigma_{12}\eta)^3 = \text{id}$  using graphical calculus.

In order to show that  $\text{Aut}(F_n)$  acts in a well-defined way on  $H^{\otimes n}$ , one could take a presentation for  $\text{Aut}(F_n)$  and verify that all of the relations are satisfied via complex but fun graphical calculus arguments. There is also a more categorical way of doing it.

## Definition

The Hopf algebra  $H$  acts on  $H^{\otimes n}$  via *conjugation*. That is, suppose  $h \in H$  and  $\Delta^{2n}(h) = h_{(1)} \otimes h_{(2)} \otimes \cdots \otimes h_{(2n-1)} \otimes h_{(2n)}$ , using Sweedler notation. Then define

$$h \star (h_1 \otimes \cdots \otimes h_n) = h_{(1)} h_1 S(h_{(2)}) \otimes \cdots \otimes h_{(2n-1)} h_n S(h_{(2n)}).$$

Let  $\overline{H^{\otimes n}}$  be the quotient of  $H^{\otimes n}$  by the subspace spanned by elements of the form

$$(h - \varepsilon(h) \cdot 1) \star (h_1 \otimes \cdots \otimes h_n),$$

i.e., this is the maximal quotient of  $H^{\otimes n}$  where the conjugation action of  $H$  factors through the counit.

- Later on in the talk, the group

$$H^{2n-3}(\text{Out}(F_n), \overline{T(V)^{\otimes n}})$$

will make an appearance, where  $\text{Out}(F_n)$  will act on  $\overline{T(V)^{\otimes n}}$  with  $T(V)$  being the tensor (Hopf) algebra generated by  $V$ .

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- Now you know how the action is defined, and the appropriate sense of suspense has been instilled!

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- 4 The Dehn-Nielsen map  $\text{Mod}(g, 1) \rightarrow \text{Aut}(\pi)$  induces a map  $\text{DN}_k: \text{Mod}(g, 1) \rightarrow \text{Aut}(\pi/\pi(k))$ . The Johnson filtration

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- 5 So  $\mathcal{J}_1$  is the Torelli group.

Let  $J_k = \mathfrak{J}_k / \mathfrak{J}_{k+1} \otimes \mathbb{k}$ . Then  $J = \bigoplus_{k \geq 1} J_k$  is a Lie algebra and an SP-module.

### Question

*What is the Lie algebra and SP-module structure of  $J$ ?*

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- 5  $V$  is symplectic, so there is a canonical isomorphism  $V \cong V^*$ . It turns out that  $\text{im } \tau$  is contained in the subset of  $V \otimes \bigwedge^2 V$  spanned by elements  $a \otimes (b \wedge c) + c \otimes (a \wedge b) + b \otimes (c \wedge a)$ , which is a copy of  $\bigwedge^3 V \subset V \otimes \bigwedge^2 V$ . This gives rise to the *classical Johnson homomorphism*.

$$\tau_1: \mathbb{J}_1 \rightarrow \bigwedge^3 V.$$

# The Higher Johnson homomorphisms

- By the same procedure, one defines the *higher order Johnson homomorphism*

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## Theorem (Hain 1997)

$\text{im}(\tau)$  is the Lie algebra generated by the image of elements in degree 1.  
I.e. by  $\wedge^3(V)$ .

- Define  $D_k(V)$  as the kernel of the bracketing map:

$$0 \rightarrow D_k(V) \rightarrow V \otimes L_{k+1}(V) \rightarrow L_{k+2}(V) \rightarrow 0.$$

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- Another source of interest in the cokernel  $C_k$  is that Matsumoto and Nakamura showed there exist Galois obstructions in  $C_k$  related to the absolute Galois group  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ . In particular, Deligne’s motivic conjecture implies that the degree  $k$  part of the free graded Lie algebra  $L(\sigma_3, \sigma_5, \sigma_7, \dots)$  on odd generators embeds in  $C_{2k}$  (as a trivial SP-module).

- $\forall k \geq 1, [2k+1]_{\text{SP}} \cong S^{2k+1}(V) \subset C_{2k+1}$ . (Morita 1993)



# Known classes in $\mathcal{C}$

- $\forall k \geq 1, [2k+1]_{\text{SP}} \cong S^{2k+1}(V) \subset C_{2k+1}$ . (Morita 1993)
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$$[2k, 2\ell]_{\text{SP}} \otimes \mathcal{S}_{2k-2\ell+2} \subset C_{2k+2\ell+2}$$

$$[2k+1, 2\ell+1]_{\text{SP}} \otimes \mathcal{M}_{2k-2\ell+2} \subset C_{2k+2\ell+4}$$

(C-Kassabov-Vogtmann 2013)

# Low order calculations (Morita-Sakasai-Suzuki 2013)

$$C_1 = C_2 = 0$$

$$C_3 = [3]_{SP}$$

$$C_4 = [21^2]_{SP} \oplus [2]_{SP}$$

$$C_5 = [5]_{SP} \oplus [32]_{SP} \oplus [2^21]_{SP} \oplus [1^5]_{SP} \oplus 2[21]_{SP} \oplus 2[1^3]_{SP} \oplus 2[1]_{SP}$$

$$C_6 =$$

$$2[41^2]_{SP} \oplus [3^2]_{SP} \oplus [321]_{SP} \oplus [31^3]_{SP} \oplus [2^21^2]_{SP} \oplus 2[4]_{SP} \oplus 2[31]_{SP} \oplus [31]_{SP} \oplus 3[2^2]_{SP} \oplus 3[21^2]_{SP} \oplus 2[1^4]_{SP} \oplus [2]_{SP} \oplus 5[1^2]_{SP} \oplus 2[0]_{SP} \oplus [0]_{SP}$$

Red classes are part of the families due to Morita, Matsumoto-Nakamura, Enomoto-Satoh, and CKV13.

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# New obstructions

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## Theorem (C- 2013)

*The coinvariants  $V_{D_{2k}}^{\langle k \rangle}$  embed in  $C_k$ .*

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## Conjecture

The part of  $C_k$  with partitions of size  $k$  is isomorphic to  $V_{D_{2k}}^{(k)}$ .

## Theorem (C-Kassabov 2014)

There is a map  $C \rightarrow H^{2n-3}(\text{Out}(F_n); \overline{T(V)^{\otimes n}})$  with “large” image. If  $H^{2n-3}(\text{Out}(F_n); \overline{T(V)^{\otimes n}}) = \bigoplus_{\lambda} m_{\lambda} [\lambda]_{\text{GL}}$ , then  $\text{im Tr}$  contains  $\bigoplus_{\lambda} m_{\lambda} [\lambda]_{\text{SP}}$ .

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- $n = 2$ :  $H^1(\text{GL}_2(\mathbb{Z}), \overline{T(V)^{\otimes 2}})$  contains the family

$$[2k-1, 1^2]_{\text{SP}} \otimes \mathcal{S}_{2k+2}$$

and

$$([2k+1, 1^2]_{\text{SP}} \oplus [2k, 2, 1]_{\text{SP}} \oplus [2k, 1^3]_{\text{SP}}) \otimes \mathcal{M}_{2k+2}$$

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yielding obstructions in  $C_{2k+3}$  and  $C_{2k+5}$ .

- Projecting  $\overline{T(V)^{\otimes n}} \rightarrow S(V)^{\otimes n}$  recovers the CKV2013 obstructions.

# Tree interpretation of $D(V)$

$$D_3(V) = \mathbb{Q} \left\{ \begin{array}{c} v_0 \quad v_1 \quad v_4 \\ \diagdown \quad | \quad / \\ \text{---} \\ / \quad \backslash \\ v_3 \quad v_2 \end{array} \right\} / \text{IHX} + \text{AS} + \text{MultiLin}$$

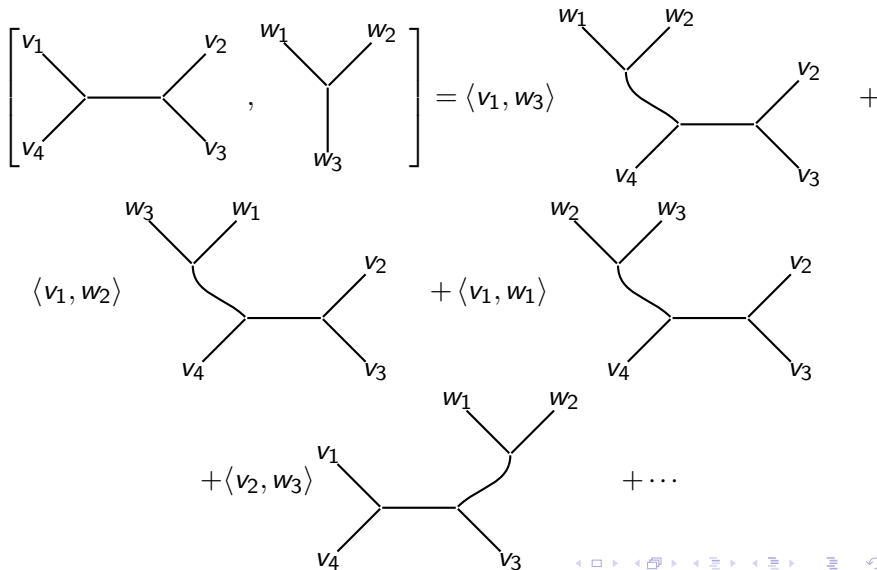
1 IHX:

The IHX relation is shown as an equation between three diagrams. On the left is a tree with a central vertex connected to three other vertices, each of which has a dotted line extending from it. This is equal to the difference of two diagrams. The first diagram on the right is a tree with a horizontal edge between two vertices, each of which has two dotted lines extending from it. The second diagram on the right is a tree with a crossing between two edges, each of which has two dotted lines extending from it.

2 AS:

The AS relation is shown as an equation between two diagrams. On the left is a tree with a central vertex connected to three other vertices, labeled  $J_3$ ,  $J_2$ , and  $J_1$  from top-left to bottom. On the right is a tree with a central vertex connected to three other vertices, labeled  $J_{\sigma(3)}$ ,  $J_{\sigma(2)}$ , and  $J_{\sigma(1)}$  from top-left to bottom. The two diagrams are separated by an equals sign and a sign  $(-1)^{|\sigma|}$ .

# The bracket map



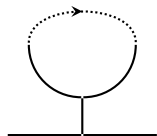
Our strategy is to graphically define a map  $\text{Tr}$  on  $D(V)$  and mod out by enough relations so that it vanishes on iterated commutators of degree 1 elements.

$$\begin{aligned}
 \text{Tr} \left( \begin{array}{c} x_1 \qquad y_1 \\ \diagdown \quad / \\ \text{---} \\ / \quad \diagdown \\ y_2 \qquad x_2 \end{array} \right) &= \begin{array}{c} x_1 \qquad y_1 \\ \diagdown \quad / \\ \text{---} \\ / \quad \diagdown \\ y_2 \qquad x_2 \end{array} + \begin{array}{c} \text{---} \\ \diagdown \quad / \\ y_2 \qquad x_2 \end{array} \begin{array}{c} \text{---} \\ \diagdown \quad / \\ y_2 \qquad x_2 \end{array} \\
 &+ \begin{array}{c} x_1 \qquad y_1 \\ \diagdown \quad / \\ \text{---} \\ \diagdown \quad / \\ y_2 \qquad x_2 \end{array} + \begin{array}{c} \text{---} \\ \diagdown \quad / \\ y_2 \qquad x_2 \end{array} \begin{array}{c} \text{---} \\ \diagdown \quad / \\ y_2 \qquad x_2 \end{array}
 \end{aligned}$$



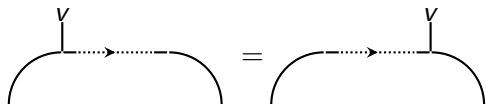
In general, the target of  $\text{Tr}$  is defined to be the space  $C_1\mathcal{H}$ , spanned by  $V$ -labeled trees with some univalent vertices connected by directed edges, modulo IHX, AS and Multilinearity of the trees, and switching edge order giving a sign. We quotient  $C_1\mathcal{H}$  by the following relations:

1



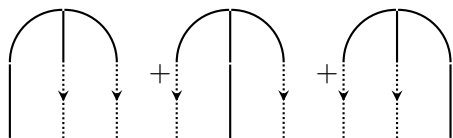
$$= 0 \quad (\text{Lollipop})$$

2



$$= \quad (\text{Slide})$$

3



$$= 0 \quad (\text{Jellyfish})$$

Let  $\Omega(V) = C_1\mathcal{H}/\text{Lollipop} + \text{Slide} + \text{Jellyfish}$ .

## Theorem

$\text{Tr}: D(V) \rightarrow \Omega(V)$  vanishes on  $\text{im}(\tau)$ , so induces an invariant of the cokernel.

## Proof.

- 1 Let  $t$  be a degree 1 tree.



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- 1 Let  $t$  be a degree 1 tree.
- 2 Show  $\text{Tr}[t, X] = [t, \text{Tr}(X)]$ .
- 3 Done by induction.

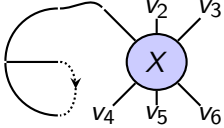


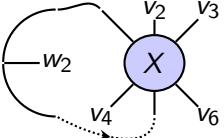
$$\text{Tr}[t, X] = [t, \text{Tr}(X)]$$

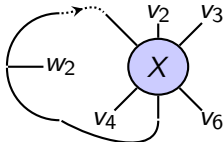
$$\left[ \begin{array}{c} \text{---} w_1 \\ \text{---} w_2 \\ \text{---} w_3 \end{array} \right], \begin{array}{c} v_1 \quad v_2 \quad v_3 \\ \circlearrowleft X \\ v_4 \quad v_5 \quad v_6 \end{array} \right] = \langle w_1, v_1 \rangle \begin{array}{c} \text{---} w_2 \\ \text{---} w_3 \\ v_4 \quad v_5 \quad v_6 \end{array} \begin{array}{c} v_2 \quad v_3 \\ \circlearrowleft X \\ v_4 \quad v_5 \quad v_6 \end{array} + \dots \xrightarrow{\text{Tr}}$$

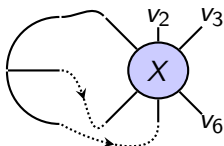
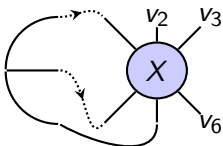
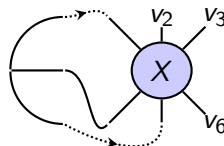
$$\langle w_1, v_1 \rangle \langle w_2, w_3 \rangle \begin{array}{c} v_2 \quad v_3 \\ \circlearrowleft X \\ v_4 \quad v_5 \quad v_6 \end{array} + \langle w_1, v_1 \rangle \langle w_3, v_5 \rangle \begin{array}{c} v_2 \quad v_3 \\ \text{---} w_2 \\ \circlearrowleft X \\ v_4 \quad v_5 \quad v_6 \end{array} +$$

$$\langle w_1, v_1 \rangle \langle w_3, v_5 \rangle \langle w_2, v_4 \rangle \begin{array}{c} v_2 \quad v_3 \\ \text{---} w_2 \\ \text{---} w_3 \\ \circlearrowleft X \\ v_4 \quad v_5 \quad v_6 \end{array} + c \begin{array}{c} \text{---} w_2 \\ \text{---} w_3 \\ \circlearrowleft X \\ v_4 \quad v_5 \quad v_6 \end{array} + \dots$$

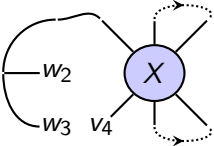
The terms of the form  are zero by the Lollipop relation.

Terms of the form  cancel with terms of the form

 via the Slide relations. Terms of the form

 cancel with  and 

via the Jellyfish relation.

The leftover terms, like  are part of  $[t, \text{Tr}(X)]$ . Thus we have shown that  $\text{Tr}([t, X]) = [t, \text{Tr}(X)]$ .

Now break up  $\Omega(V)$  into graded pieces:

$$\Omega(V) = \bigoplus_{r,s} \Omega_{r,s}(V),$$

where  $r$  is the rank of the graph (or the number of external edges) and  $s$  is the number of  $V$ -labeled hairs.

- 1  $\Omega_{1,s}(V) \cong V_{D_{2s}}^{\otimes s}$ . This gives the Enomoto-Satoh trace.



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- 3 Theorem:  $\text{Tr}$  is onto  $\Omega_{r,s}\langle V \rangle$ , which follows from C-Kassabov-Vogtmann 2013.
- 4 If  $r \geq 2$  then  $\bigoplus_{s \geq 0} \Omega_{r,s}(V) \twoheadrightarrow H^{2r-3}(\text{Out}(F_r), \overline{T(V)^{\otimes r}})$ . (C-Kassabov 2014)

- 1 Does  $V_{D_{2n}}^{(n)}$  equal the partition size  $n$  part of  $C_n$ ?

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- 3 Are the Galois obstructions related to the Morita classes in  $\mu_k \in H^{4n}(\text{Out}(F_{2n+2}); \mathbb{Q})$ ? Both first appear in degree 6.