

# Lecture 2

## Subspaces

In most applications we will be working with a subset  $W$  of a vector space  $V$  such that  $W$  itself is a vector space.

Question: Do we have to test all the axioms to find out if  $W$  is a vector space?

The answer is NO.

*Theorem.* Let  $W \neq \emptyset$  be a subset of a vector space  $V$ . Then  $W$ , with the same addition and scalar multiplication as  $V$ , is a vector space if and only if the following two conditions hold:

1.  $u + v \in W$  for all  $u, v \in W$  (or  $W + W \subseteq W$ )
2.  $r \cdot u \in W$  for all  $r \in \mathbb{R}$  and all  $u \in W$  (or  $\mathbb{R}W \subseteq W$ ).

In this case we say that  $W$  is a *subspace* of  $V$ .

*Proof.* Assume that  $W + W \subseteq W$  and  $\mathbb{R}W \subseteq W$ .

To show that  $W$  is a vector space we have to show that all the 10 axioms of Definition 1.1 hold for  $W$ . But that follows because the axioms hold for  $V$  and  $W$  is a subset of  $V$ :

A1 (Commutativity of addition)

For  $u, v \in W$ , we have  $u + v = v + u$ . This is because  $u, v$  are also in  $V$  and commutativity holds in  $V$ .

A4 (Existence of additive identity)

Take any vector  $u \in W$ . Then by assumption  $0 \cdot u = \vec{0} \in W$ . Hence  $\vec{0} \in W$ .

A5 (Existence of additive inverse)

If  $u \in W$  then  $-u = (-1) \cdot u \in W$ .

One can check that the other axioms follow in the same way.

□

## 2.1 Examples

Usually the situation is that we are given a vector space  $V$  and a subset of vectors  $W$  satisfying some conditions and we need to see if  $W$  is a subspace of  $V$ .

$$W = \{v \in V : \text{some conditions on } v\}$$

We will then have to show that

$$\left. \begin{array}{l} u, v \in W \\ r \in \mathbb{R} \end{array} \right\} \begin{array}{l} u + v \\ r \cdot u \end{array} \text{ Satisfy the } \underline{\text{same conditions}}.$$

## 2.2 Lines through the origin as subspaces of $\mathbb{R}^2$

*Example.*

$$\begin{aligned} V &= \mathbb{R}^2, \\ W &= \{(x, y) | y = kx\} \quad \text{for a given } k \\ &= \text{line through } (0, 0) \text{ with slope } k. \end{aligned}$$

To see that  $W$  is in fact a subspace of  $\mathbb{R}^2$ :

Let  $u = (x_1, y_1)$ ,  $v = (x_2, y_2) \in W$ . Then  $y_1 = kx_1$  and  $y_2 = kx_2$

and

$$\begin{aligned} u + v &= (x_1 + x_2, y_1 + y_2) \\ &= (x_1 + x_2, kx_1 + kx_2) \\ &= (x_1 + x_2, k(x_1 + x_2)) \in W \end{aligned}$$

Similarly,  $r \cdot u = (rx_1, ry_1) = (rx_1, krx_1) \in W$

So what are the subspaces of  $\mathbb{R}^2$ ?

1.  $\{0\}$
2. Lines. But only those that contain  $(0, 0)$ . Why?
3.  $\mathbb{R}^2$

*Remark* (First test). If  $W$  is a subspace, then  $\vec{0} \in W$ .

**Thus:** If  $\vec{0} \notin W$ , then  $W$  is not a subspace.

This is why a line not passing through  $(0, 0)$  can not be a subspace of  $\mathbb{R}^2$ .

## 2.3 A subset of $\mathbb{R}^2$ that is not a subspace

*Warning.* We can not conclude from the fact that  $\vec{0} \in W$ , that  $W$  is a subspace.

*Example.* Lets consider the following subset of  $\mathbb{R}^2$ :

$$W = \{(x, y) | x^2 - y^2 = 0\}$$

Is  $W$  a subspace of  $\mathbb{R}^2$ ? Why?

The answer is NO.

We have  $(1, 1)$  and  $(1, -1) \in W$  but  $(1, 1) + (1, -1) = (2, 0) \notin W$ . i.e.,  $W$  is not closed under addition.

Notice that  $(0, 0) \in W$  and  $W$  is closed under multiplication by scalars.

## 2.4 Subspaces of $\mathbb{R}^3$

What are the subspaces of  $\mathbb{R}^3$ ?

1.  $\{0\}$  and  $\mathbb{R}^3$ .
2. Planes: A plane  $W \subseteq \mathbb{R}^3$  is given by a normal vector  $(a, b, c)$  and its distance from  $(0, 0, 0)$  or

$$W = \{(x, y, z) \mid \underbrace{ax + by + cz = p}_{\text{condition on } (x, y, z)}\}$$

For  $W$  to be a subspace,  $(0, 0, 0)$  must be in  $W$  by the *first test*. Thus

$$p = a \cdot 0 + b \cdot 0 + c \cdot 0 = 0$$

or

$$p = 0$$

### 2.4.1 Planes containing the origin

A plane containing  $(0, 0, 0)$  is indeed a subspace of  $\mathbb{R}^3$ .

*Proof.* Let  $(x_1, y_1, z_1)$  and  $(x_2, y_2, z_2) \in W$ . Then

$$\begin{aligned} ax_1 + by_1 + cz_1 &= 0 \\ ax_2 + by_2 + cz_2 &= 0 \end{aligned}$$

Then we have

$$\begin{aligned} a(x_1 + x_2) + b(y_1 + y_2) + c(z_1 + z_2) &= \underbrace{(ax_1 + by_1 + cz_1)}_0 + \underbrace{(ax_2 + by_2 + cz_2)}_0 \\ &= 0 \end{aligned}$$

and

$$\begin{aligned} a(rx_1) + b(ry_1) + c(rz_1) &= r(ax_1 + by_1 + cz_1) \\ &= 0 \quad \square \end{aligned}$$

## 2.5 Summary of subspaces of $\mathbb{R}^3$

1.  $\{0\}$  and  $\mathbb{R}^3$ .
2. Planes containing  $(0, 0, 0)$ .
3. Lines containing  $(0, 0, 0)$ .  
(Intersection of two planes containing  $(0, 0, 0)$ )

## 2.6 Exercises

Determine whether the given subset of  $\mathbb{R}^n$  is a subspace or not (Explain):

- a)  $W = \{(x, y) \in \mathbb{R}^2 \mid xy = 0\}$ .
- b)  $W = \{(x, y, z) \in \mathbb{R}^3 \mid 3x + 2y^2 + z = 0\}$ .
- c)  $W = \{(x, y, z) \in \mathbb{R}^3 \mid 2x + 3y - z = 0\}$ .
- d) The set of all vectors  $(x_1, x_2, x_3)$  satisfying

$$2x_3 = x_1 - 10x_2.$$

- e) The set of all vectors in  $\mathbb{R}^4$  satisfying the system of linear equations

$$\begin{aligned} 2x_1 + 3x_2 + 5x_4 &= 0 \\ x_1 + x_2 - 3x_3 &= 0 \end{aligned}$$

- f) The set of all points  $(x_1, x_2, x_3, x_4) \in \mathbb{R}^4$  satisfying

$$x_1 + 2x_2 + 3x_3 + x_4 = -1.$$